



Agenda

**Joint Overview and Scrutiny Committee for Hosted Services
Monday, 26 February 2024 at 10.30 am
Conference Rooms A and B, Cumbria House, Botchergate,
Carlisle, CA1 1RD**

The press and public are welcome to attend for the consideration of any items which are public.

**Enquiries and requests for supporting papers to:
Email: rose.blaney@cumberland.gov.uk**

Membership

Cumberland Council

Cllr C Weber (Chair)
Cllr M Harris
Cllr R Dobson
Cllr C McCarron-Holmes
Cllr D Moore
Cllr B Pegram

Westmorland & Furness Council

Cllr M Rudhall (Vice Chair)
Cllr J Batty
Cllr M Brereton
Cllr H Hodgson
Cllr H Ladhams
Cllr B Morgan

Access to Information

Agenda and Reports

Copies of the agenda and Part A reports are available for members of the public to inspect prior to the meeting. Copies will also be available at the meeting.

The agenda and Part A reports are also available on the [Cumberland Council website](#).

Public Participation

Any member of the public who wishes to ask a question at the meeting should apply to do so no later than midday five working days before the date of the meeting.

Information on how to apply can be obtained from the Democratic Services Officer named on the front of the agenda.

1. Apologies for Absence

To receive apologies for absence.

2. Declarations of Interest

To receive declarations by Councillors of disclosable pecuniary interests, personal interests, other registrable interests or any other interests in respect of items on the agenda.

3. Exclusion of Press and Public

To consider whether the press and public should be excluded from the meeting during consideration of any items of business on the agenda.

4. Minutes of the Previous Meeting (Pages 5 - 10)

To receive the minutes of the Joint Overview and Scrutiny Committee meeting held on 14 December 2023.
(Copy enclosed)

5. Minutes of the Joint Executive Meeting (Pages 11 - 16)

To note the draft minutes of the Joint Executive Committee meeting held on 25 January 2024.
(Copy enclosed)

6. Update Report - Adult Services (Pages 17 - 24)

To provide the Committee with an update report on Adult Services following the request for additional information at the 14 December 2023 meeting.
(Copy enclosed)

7. Programme for Proposed Disaggregation (Pages 25 - 32)

To receive an update from the Lead Officer regarding the timescales for proposed disaggregation of services and possible additions to the programme.
(Copy enclosed)

8. Long-Term Hosted Services Review Process (Pages 33 - 64)

To review the review process for long-term hosted services and the consideration given as to whether disaggregation is required.
(Copy enclosed)

9. Stronger Local Resilience Forum (LRF) Pilot Programme (Pages 65 - 72)

To receive a report on the Stronger Local Resilience Forum (LRF) Pilot Programme.

10. Waste (Pages 73 - 78)

To receive a progress update on all of the short and long-term hosting arrangements in Waste and how the hosted services are operating/performing.

(Copy enclosed)

11. Integration of LEP activities to Local Authorities (Pages 79 - 92)

To review the integration of LEP activities to Local Authorities for Cumberland and Westmorland & Furness.

(Copy enclosed)

12. Scrutiny Officer Update (Pages 93 - 102)

The Scrutiny Officer to provide an update on outstanding recommendations and present the 2023/24 Joint Scrutiny for Hosted Services Work Programme.

(Copy enclosed)

13. Date and Time of Next Meeting

To note that the next Joint Overview and Scrutiny Meeting will be held on Friday 19 April 2024 at 10:30am.



Joint Overview and Scrutiny Committee for Hosted Services

Date: Thursday, 14 December 2023

Time: 10.30 am

Location: Conference Rooms A and B, Cumbria House, Botchergate, Carlisle, CA1 1RD

Present: Cllr C Weber (Chair), Cllr J Battye, Cllr M Harris, Cllr H Hodgson, Cllr H Ladhams, Cllr M Rudhall (Vice Chair), Cllr R Dobson, Cllr C McCarron-Holmes, Cllr B Pegram, Cllr M Mitchelson (substitute for Cllr D Moore) and Cllr H Carrick (substitute for Cllr M Brereton).

In Attendance Assistant Director of Operations (Cumberland), Acting Senior Manager—Mental Health, Learning Disabilities and Safeguarding (Cumberland), Learning Disabilities, Autism, Mental Health and Adults Safeguarding Service Lead (Westmorland & Furness) and Democratic Services Officer (Scrutiny).

9 Apologies for Absence

Apologies were received from Councillors David Moore, Matt Brereton and Beverley Morgan, Director of Adult Social Services and Housing (Cumberland), Director of Adult Social Care (Westmorland & Furness) and the Programme Managers (Cumberland and Westmorland & Furness).

10 Declarations of Interest

No Declarations of Interest were received.

11 Exclusion of Press and Public

RESOLVED that the press and public not be excluded from the meeting for any items of business on this occasion.

12 Minutes of the Previous Meeting

The Committee reviewed the minutes of the previous meeting held on 30 October 2023.

A Member queried whether an answer had been received as to why the “Review of identified risks/Learning and Improvements” agenda item had been removed from the Work Programme. The Democratic Services Officer (Scrutiny) noted that an answer had not been received but that they would be following up and that a process was in place to ensure that no requests for further information would be missed.

RESOLVED that the minutes of the Joint Overview & Scrutiny Committee held on 20 October 2023 be agreed as a true and accurate record.

13 Minutes of the Joint Executive Meeting

The Committee reviewed the minutes of the Joint Executive Committee held on 13 November 2023.

A Member asked if the timescales for future disaggregation, specifically for Children's Services, had been met. The Democratic Services Officer (Scrutiny) noted the query and agreed to have the answer provided after the meeting when the appropriate officer could provide the answer.

A Member requested that a standing agenda item be added to all Joint Overview and Scrutiny Committee (JOSC) agendas going forward regarding a programme for proposed disaggregation. To allow the Committee the opportunity to query whether timescales are being met and what possible disaggregation may be taking place. Members agreed to propose adding this item to the Work Programme.

A Member requested that the Senior Manager(s) who has the overview of all hosted services be present at future meetings to help answer the Committee's queries. The Democratic Services Officer (Scrutiny) agreed to make the request on behalf of the Committee.

Members had a discussion regarding the future of the Integrated Enterprise Partnership (LEP) within Cumbria and sought clarification as to who would be responsible for scrutiny, such as either the JOSC, a collaboration between the appropriate Cumberland and Westmorland & Furness Scrutiny Committees or a combination of the two approaches. The Democratic Services Officer (Scrutiny) agreed to seek that clarity and add the topic to the Work Programme as appropriate.

A Member asked for clarification as to the meaning of "resilience accountability" regarding the Stronger Local Resilience Forum Pilot Programme. As no clarification was able to be provided, and it was noted that responsibility had been given to the JOSC to provide scrutiny for the programme, Members requested that the topic be added to the Work Programme.

A Member noted the importance of being able to scrutinise contracts within the Waste department, which the Democratic Services Officer (Scrutiny) agreed to highlight to the report author for the Waste agenda item due to come to the Committee in February 2024.

RESOLVED that the minutes of the Joint Executive Committee held on 13 November 2023 be noted and the Committees comments be noted and actioned accordingly.

14 Adult Social Care

The Assistant Director of Operations (Cumberland), Acting Senior Manager—Mental Health, Learning Disabilities and Safeguarding (Cumberland) and Learning Disabilities, Autism, Mental Health and Adults Safeguarding Service Lead (Westmorland & Furness), gave overview of the report. This included providing the Committee of the background for the Urgent Care Team (UCT). They noted that the UCT is a country wide service, which meets the Council's statutory duty to have in place an all age, 24-hour, 7-day, 365 days per year service for responding to requests for Mental Health Act (MHA) 1983 (as amended 2007) assessments. They also noted that the current UCT also provides the Emergency Duty Team function with respect to out of hours Care Act business for Adult Social Care. Though they did comment that it was not common practice to have an arrangement encompasses a Local Authority 24/7 Approved Mental Health offer and the out of hours Adult Social Care provision and highlighted that many neighbouring Local Authorities separate these functions. They also commented the importance of recognising that the number of Approved Mental Health Professionals (AMHPs) within

England and Wales continue to be precarious. The 2019 National Workforce Plan for Approved Mental Health Professionals represents a concerted attempt to address the downward trend in terms of Approved Mental Health Professional training and retention.

A Member asked why the UCT had gone down the model route that they had. The Acting Senior Manager—Mental Health, Learning Disabilities and Safeguarding (Cumberland) explained that it followed a historic narrative arrangement which was dictated by circumstances but that, with the new authorities, a chance to review the model within the prescribed processes would take place.

A Member asked if a number of options for operating models were being considered, what the Police approach is like now and what the volume of demand is for the service. The Assistant Director of Operations (Cumberland) highlighted that officers are having weekly meetings to discuss the various options available and their viability, with discussions taking place with the relevant trusts and each authority taking to opportunity to create the right model for their respective residents. Regarding the Police and their approach, the Assistant Director of Operations (Cumberland) explained the 'Right Care, Right Person' operating model, which focuses on ensuring that the right person, resources and services are available for a situation. The Acting Senior Manager—Mental Health, Learning Disabilities and Safeguarding (Cumberland) noted that this was a nationwide mandated process, though Cumbria had decided to only focus on implementing Articles 2 (Right to Life) and 3 (Right not to be tortured or treated in an inhuman or degrading way) from the Human Rights Act 1998. Other elements would be implemented at a later date following national guidance. The Acting Senior Manager—Mental Health, Learning Disabilities and Safeguarding (Cumberland) also noted that both authorities were undertaking work with the Police and working on a 'Memorandum of Understanding'. The Learning Disabilities, Autism, Mental Health and Adults Safeguarding Service Lead (Westmorland & Furness) assured the Committee that the Police still had their duties and requirements to attend certain incidents. Regarding the volume of demand, the Acting Senior Manager—Mental Health, Learning Disabilities and Safeguarding (Cumberland) highlighted that there was currently a weekly assessment volume of 25-30, with slow weeks being around 20 assessments. Although the Acting Senior Manager—Mental Health, Learning Disabilities and Safeguarding (Cumberland) commented that while the recording system is able to provide key metrics, applying metrics is difficult due to each assessment having a different type of demand which then requires a different amount of time to complete.

A Member asked what the basic training was for Social Workers and whether it was possible for them to be trained to become an AMHP. The Assistant Director of Operations (Cumberland) explained that Social Workers undertake a degree, with general training lasting two years and then undertaking the Assessed and Supported Year in Employment (ASYE) 12-month, employer led and employment-based programme of support and assessment. To become an AMHP, regulations require experience as a Social Worker for a few years. The Acting Senior Manager—Mental Health, Learning Disabilities and Safeguarding (Cumberland) added that conversations were being conducted to see if it would be possible in the future for Social Workers to be able to indicate early on whether they would like to undertake AMHP training and perhaps create a discipline of Social Work informed by AMHP regulations. The Learning Disabilities, Autism, Mental Health and Adults Safeguarding Service Lead (Westmorland & Furness) also noted that AMHPs are the premium for mental health professionals due to their experience and training and their willingness to do the job. He also explained that options for increasing the number of AMHPs are being reviewed, but the focus is currently on maintaining the current AMHPs.

A Member asked if the following metrics could be supplied:

- How many 'clients' are seen within a 12 month period.
- Is there a trend in demand.

- What is the impact of Covid and the Cost of Living Crisis on that trend in demand.
- What is the geographic spread in the demand for the service (rural v town/city).
- Is the pool of Section 12 Doctors large or small.
- What is the division of AMHPs between the two authorities with disaggregation.
- What are the key drivers which would be achieved by disaggregation.

The Assistant Director of Operations (Cumberland) noted that the information would need to be supplied after the meeting as the officers did not have the information to hand, but that the UCT currently had 14 Full Time Employed (FTE) AMHPs which would be split equally between the two authorities with disaggregation. The Learning Disabilities, Autism, Mental Health and Adults Safeguarding Service Lead (Westmorland & Furness) commented on the importance of asking about the need for disaggregation for each service and highlighted that the team were currently focused on what was best needed for Cumbrian residents.

A Member commented on the important relationships the authorities have with the two relevant NHS Trusts which cover large areas and a number of authorities. The Acting Senior Manager—Mental Health, Learning Disabilities and Safeguarding (Cumberland) noted that the UCT were working with the Trusts to provide Section 12 Doctors, with tools available to put calls out when they are required, but that the current challenge is a reliance on independent doctors in Cumbria rather than through the previous provider. But he commented that actions are being undertaken to find ways around these challenges.

A Member asked for clarification as to who the “honorary contract” within paragraph 1.9 of the report referred to, whether the figures within paragraph 1.15 of the report were the figures as what was expected to be the cost or the actual cost and whether the costs noted in paragraph 1.17 of the report for an Externally Provided Workforce (EPW) were an additional cost. The Acting Senior Manager—Mental Health, Learning Disabilities and Safeguarding (Cumberland) answered that the contract referred to both trained AMHP staff and those who were currently in training where Cumberland would become the approval authority, with the logistics of where the AMHP is based being considered to help reduce some of the demand for working out of the area. Regarding the figures, the Acting Senior Manager—Mental Health, Learning Disabilities and Safeguarding (Cumberland) explained that they were the actual costs and they reflected the agreed inter-agency arrangements. It was also noted that the EPW costs were additional to the other figures.

A Member noted their concern regarding police response for residents within rural areas, especially within the farming communities, due to isolation and the current high rates of self-harm and suicide. The Learning Disabilities, Autism, Mental Health and Adults Safeguarding Service Lead (Westmorland & Furness) recognised the vulnerability of the farming community and highlighted that under the ‘Right Care, Right Person’ operating model, the police still retain the responsibility to respond to any immediate risk concern. He also noted that processes were in place with the police regarding attending situations for welfare checks and risk assessments.

A Member highlighted the importance of all Social Workers sharing their first professional training as all areas of Social Work experience stresses and strains. The Assistant Director of Operations (Cumberland) agreed with the Member, noting the importance of conducting value based training due to the relationships established during Social Work to help make sure people are seen as being valued within their communities.

A Member asked how the council’s dealt with Mental Health issues for Children. The Acting Senior Manager—Mental Health, Learning Disabilities and Safeguarding (Cumberland) explained that the Mental Health Act was ageless, so the team worked with children and young people when their help was required and appropriate.

Members queried whether the £56k for enhancements/allowance was an additional figure or included within the total costs for staff. The Assistant Director of Operations (Cumberland) agreed to seek that clarity with the Finance Team.

A Member noted the high cost of employing EPWs, and queried what the impact of impact of disaggregation would have on both the staffing costs and the risks on the pinch points. The Assistant Director of Operations (Cumberland) explained that an EPW is only paid as and when they work but that it is a higher cost than having a FTE member of staff. When considering the cost of disaggregation, the Assistant Director of Operations (Cumberland) noted that it would be significantly higher due to needing to ensure the sufficiency of the service and the cover for any staff on sick or leave, which would require EPWs to provide that cover. The Learning Disabilities, Autism, Mental Health and Adults Safeguarding Service Lead (Westmorland & Furness) note that the pinch points would be different for each council, such as Westmorland & Furness finding it easier to access Section 12 Doctors compared to Cumberland. The Acting Senior Manager—Mental Health, Learning Disabilities and Safeguarding (Cumberland) also noted that the impact of the pinch points would be determined by the operation models and preference of AMHP models chosen by each council following disaggregation.

A Member asked whether AMHPs were able to explore options such as job shares or returns to prevent losing them altogether and whether there was support available should an AMHP require help. The Acting Senior Manager—Mental Health, Learning Disabilities and Safeguarding (Cumberland) explained that there was a rich supervision programme, with training, as support for all staff was taken very seriously by both authorities. It was also noted that there are numerous arrangements in place to help keep as many AMHPs in position as possible, such as flexible retirement arrangements, bank arrangements and being able to utilise previously authorise AMHPs.

A Member asked if Cumbria had access to Section 12 Doctors from Scotland. The Acting Senior Manager—Mental Health, Learning Disabilities and Safeguarding (Cumberland) explained that yes, Cumbria was able to draw them down due to them being able to be Section 12 Doctors in England and Wales.

A Member asked if non-Section 12 Doctors, such as GPs who previously allowed for home visits, were still able to help the UCT. The Acting Senior Manager—Mental Health, Learning Disabilities and Safeguarding (Cumberland) explained that due to the high demand on GPs, they were less able to support assessments for the UCT than they had been able to previously.

RESOLVED that,

- a) The information contained within the report regarding the activity undertaken by the Adults UCT and the update be noted.
- b) The requested information be provided after the meeting.

15 Work Programme

The Democratic Services Officer (Scrutiny) provided the Committee with an overview of the current Work Programme before inviting Members to ask any questions or propose any amendments.

Members agreed that the proposed items under agenda item 5; the Disaggregation Progress Programme as a standard item, the future arrangements of LEP and the Stronger Local Resilience Forum Pilot Programme be added to the Work Programme.

RESOLVED that the JOSC noted the current Work Programme and agreed to the proposed additional items as recorded above.

16 Date and Time of Next Meeting

Members had a discussion regarding the meeting venues for the next two scheduled JOSC meetings, considering potentially moving them to alternate venues within West Cumberland, but agreed that it would be beneficial to keep the venues as Cumbria House, Carlisle.

However, Members did note that all potential venues for the 2024/25 JOSC meetings should be considered when creating the calendar.

RESOLVED that the next JOSC meeting be held on Monday 26 February 2024 at 10:30am in Cumbria House, Carlisle.

The meeting finished at 12.24 pm



Westmorland
& Furness
Council

JOINT EXECUTIVE COMMITTEE

Minutes of a Meeting of the **Joint Executive Committee** held on Thursday, 25 January 2024 at 10.30 am at Committee Room 1, County Hall, Kendal

PRESENT:

Cllr P Bell
Cllr J Brook
Cllr B Cannon
Cllr M Fryer
Cllr A Jarvis
Cllr S Sanderson

Officers in attendance:

Chief Executive (Cumberland Council)
Chief Executive (Westmorland and Furness Council)
Assistant Chief Executive (Cumberland Council)
Chief Legal and Monitoring Officer (Westmorland and Furness Council)
Director of Resources (Westmorland and Furness Council)
Director of Business, Transformation and Change (Cumberland Council)
Director of Enabler Services (Westmorland and Furness Council)

PART I ITEMS CONSIDERED IN THE PRESENCE OF THE PUBLIC AND PRESS

12. APOLOGIES FOR ABSENCE

Apologies for absence were received from Councillors Brown and Williamson – Cumberland Council and Alison Hatcher – Assistant Chief Executive (Westmorland and Furness Council).

13. DECLARATIONS OF INTEREST

There were no declarations of interest made on this occasion.

14. EXCLUSION OF PRESS AND PUBLIC

RESOLVED: - That the press and public not be excluded during consideration of any items on the agenda.

15. MINUTES OF THE PREVIOUS MEETING

RESOLVED – That the minutes of the meeting of the Joint Executive Committee held on 13 November 2023 be approved and signed by the Co-Chair (Westmorland and Furness Council).

16. PUBLIC PARTICIPATION

The Chair reported that a question had been received from Mr Jonathan Davies.

Mr Davies stated that on 1 April 2023 the government imposed structural change orders took effect in Cumbria creating the new Councils of Cumberland and Westmorland and Furness Councils.

Replacing the former District Councils and the County Council and effectively scrapping with no wider social or economic impact assessment the county of Cumbria in all but ceremonial name.

The two new Councils created through the change order represented today had established this Joint Executive Committee to oversee what was effectively the carving up of the services across Cumbria taking up valuable time and finances and in many cases creating duplication of services and roles across both Councils.

The Joint Executive Committee at its meeting in November had resolved that this Committee was the best governance structure to take on responsibility for the transition of LEP functions to the two Authorities to continue on a Cumbria geographical footprint and the delivery of those functions across Cumbria and recommended to the Cumberland Executive and the Westmorland and Furness Cabinet that they agree a change to the terms of reference of the Joint Executive Committee.

Although his own views on failures of Cumbria LEP were no secret, he fully agreed with the move by this Committee to commit to delivery of economic and growth services on the geographical footprint of Cumbria.

He believed that this showed a way forward to help both councils address the mess created by the local government reorganisation. Rather than continuing with disaggregation of wider services with the costs and duplication this created at a time both councils face financial difficulties like many other councils across the UK.

He asked that the Joint Executive Committee look to consider the potential for the residents and communities across Cumbria served by Cumberland Council and Westmorland and Furness Council of the creation of a Combined Authority to deliver services and combined resources from Highways to schools and social care across Cumbria along with the opportunity this could provide for a devolution deal for Cumbria with or without the requirement for a Mayor as the recent devolution deal for Lancaster has shown with a deal without the need for a mayor something that has historically been a sticking issue for such a deal in Cumbria.

He also asked the leadership of both Councils would agree to look at the potential of creating a combined authority for Cumbria and build on the joint working this Committee was already undertaking as a foundation for Combined Authority for Cumbria.

The Chair thanked Jonathan Davies for his question and responded as follows:-

On 4 August 2023, following an information gathering exercise, government had confirmed a 'minded to' decision to withdraw central government support (core funding) for Local Enterprise Partnerships (LEPs) meaning that government's sponsorship and funding of LEPs would cease from April 2024.

From April government would support local authorities to deliver the core functions currently delivered by LEPs. Government expected local authorities to work together to deliver these strategic economic functions within whole county geographies or functional economic areas with a minimum population of 500,000. These functions would be exercised by one of the local authorities (working in collaboration with others), where they were not already delivered by a combined authority, or in areas where a devolution deal was not yet agreed.

Following the Government guidance, Cumberland Council and Westmorland and Furness Council would work together across the functional economic area of Cumbria to carry out strategic economic planning, in partnership with local leaders to clearly articulate the areas economic priorities and sectoral strengths. Together with partners an Economic Growth Board would be created made up of local business leaders and relevant representative bodies and create a broad economic strategy for the area. We will ensure the seamless transition of Growth Hub and Careers Hub provision across Cumbria and delivery of existing funded Cumbria wide programmes.

In respect of devolution, it had been made clear that Local Government Reorganisation must be concluded before considering devolution. The Councils were still in the process of disaggregating and integrating functions and services from the legacy authorities and shaping the two new unitary Councils. An open door had been maintained with the Government and were open to dialogue on how new powers could be unlocked, opportunities and funding for our residents, our communities and our businesses. The current Government had made it clear that any area wanting access to level three powers and funding must have a directly elected leader. We now need to understand what the forthcoming general election means for devolution of powers and funding for Local Government.

17. BORDERLANDS 5G INNOVATION REGION PROGRAMME

A presentation was given by Catherine Weldon, Connecting Cumbria on the Borderlands 5G Innovation Regions programme.

The presentation provided an Overview and Background of the Digital Infrastructure Strategy, Borderlands 5G Innovation Regions Programme, Windermere 5G Ferry and Broadband and Mobile Coverage.

A copy of the presentation was attached to the minutes.

The Chair thanked Catherine Weldon, Connecting Cumbria for the presentation and asked that an update be given to a future meeting when further progress had been made.

18. HOSTED SERVICES PERFORMANCE

The Committee considered a report from The Assistant Chief Executive (Westmorland and Furness Council) and the Assistant Chief Executive (Cumberland Council) regarding Hosted Service Performance.

The Committee was reminded that under the Inter Authority Agreement entered between Westmorland and Furness Council and Cumberland Council governing the provision of hosted services, the Councils had agreed to establish a Joint Executive Committee.

The Terms of Reference set out within the Inter Authority Agreement (IAA) stated that the Joint Executive Committee was responsible for reviewing the performance of hosted services against budget and indicators for service quality, performance and efficiency. The Committee also received an annual report on performance, finance and proposed service improvements.

On 13 November 2023 this Committee had agreed to formally monitor performance of long-term hosted services due to disaggregate after April 2025.

This Committee also agreed the performance measures for each of the long-term hosted services, with a further request to provide additional qualitative data where possible. The performance data collated for Quarters 1 and 2 of 2023/24 was detailed in Appendix 1.

The Chair asked a question on the Archives Service concerning service planning given the variations in demand. The Director of Enabler Services agreed to provide a written response regarding the Archives Service.

RESOLVED:- To note the performance update for long-term hosted services during Quarter 1 and Quarter 2 of 2023/24 and agree to receive an update on performance during Quarter 3 at the next Committee meeting on 28 March 2024, and on a quarterly basis thereafter.

19. UPDATE ON DISAGGREGATION PROGRAMME DELIVERY

The Committee considered a report from The Assistant Chief Executive (Westmorland and Furness Council) and The Director of Business, Transformation and Change (Cumberland Council) regarding the Update on Disaggregation Programme Delivery.

The Committee was reminded that under the Inter Authority Agreement (IAA) entered between Westmorland and Furness Council and Cumberland Council governing the provision of hosted services, the Councils had agreed to establish a Joint Executive Committee. This Committee was responsible for the oversight and management of the hosted services including the development and implementation of disaggregation plans for the hosted services.

At a previous meeting of this Committee on 17 July 2023, members had been provided with an overview of the hosted services and target dates for disaggregation set out in the IAA. Any changes to disaggregation dates must be approved by this Committee.

Plans for the following services had been reviewed by the Joint Officer Board on 4 December 2023 and it had been agreed that the services could, subject to this Committee's approval, disaggregate on different dates to the planned date in the IAA:

- Human Resources (HR) Payroll Administration: Recruitment and DBS Elements - 31 March 2024, or 31 July 2024 at the latest.
- Social Work Academy - 31 March 2024.
- Adults Urgent Care Team - 30 September 2024, or 31 March 2025 at the latest.

A review of the Payroll and HR Recruitment recommended separating the services out into two functions: DBS and Recruitment, and Payroll and HR Admin. The proposal recommended bringing forward the disaggregation of the Recruitment and DBS elements from 31 March 2025 to 31 March 2024, with built in flexibility to disaggregate by 31 July 2024 at the latest. Earlier disaggregation would result in each authority having dedicated recruitment and resourcing capacity.

The Payroll and HR Admin element of the service would continue to the agreed disaggregation date of 31 March 2025. Work was ongoing to consolidate the legacy systems and then to disaggregate the system prior to service disaggregation.

Following a review of the Social Work Academy, both authorities had developed future operating model proposals to deliver that function within Adults and Children's Social Care Services. It was recommended that the disaggregation date be brought forward from 31 March 2025 to 31 March 2024.

A review for the Adults Urgent Care Team had been set at 6 – 9 months into the disaggregation programme period to consider modelling options and to review data and demand on the service to determine the best way forward. It had been recommended that the disaggregation date for the Urgent Care Team be delayed from 31 March 2024 to 30 September 2024, or 31 March 2025 at the latest. That would provide additional time to work towards safe and legal disaggregation of the service.

On 13 November 2023 this Committee had previously received updates on the phased disaggregation of teams within Children's Services: Improvement/Quality Assurance Service (30 September 2023) and the Partnership Service (31 October

2023). The Local Authority Designated Officer (LADO) service had been disaggregated on 31 December 2023, with all three services now successfully disaggregated to the agreed timescale and in accordance with the safe and legal requirements for both authorities.

An overview of the progress of the Disaggregation Programme was considered by the Committee.

Councillor Brook proposed the recommendations which were seconded by Councillor Fryer.

Cllr Bell asked for the detail why the Adults Urgent Care Team disaggregation had been delayed. The Director of Business, Transformation and Change (Cumberland Council) commented that it was due to the size of the team and the majority were temporary staff rather than permanent staff.

RESOLVED:-

1.To unanimously note the update from the Joint Officer Board on progress of the Joint Disaggregation Programme and approve the following:-

- Bring forward the disaggregation of Human Resources Payroll Administration: Recruitment and DBS Elements from 31 March 2025 to 31 March 2024, or 31 July 2024 at the latest;
- Bring forward the disaggregation of the Social Work Academy from 31 March 2025 to 31 March 2024; and
- Postpone the disaggregation of the Adults Urgent Care Team from 31 March 2024 to 30 September 2024 or 31 March 2025 at the latest.

2.To note the successful disaggregation of the Children’s Partnership Support / Local Authority Designated Officer (LADO) service on 31 December 2023.

20. UPDATE ON CONTRACT AWARD DECISIONS FOR HOSTED SERVICES

The Director of Resources (Westmorland and Furness Council) and the Director of Business, Transformation and Change (Cumberland Council) informed members of a decision that had been taken under delegated authority by Officers in respect of contracts associated with hosted services.

The Committee considered one decision that had been taken since the last meeting.

RESOLVED, To note the decision taken by officers since the last meeting of the Committee.

21. URGENT ITEMS

There were no urgent items for this meeting.

The meeting ended at 11.13 am



Update report to the Joint Overview and Scrutiny Committee

Original Meeting Date – 14th December 2023

Key Decision – No

Public/Private – Public

Portfolio – Cllr Lisa Brown – Cumberland
Cllr Patricia Bell – Westmorland and Furness

Directorate – Adult Social Care and Housing – Cumberland Council
Adult Social Care – Westmorland and Furness Council

Lead Officer - Karen Bell – Assistant Director – Operations, Cumberland Council
Lennie Sahota - Interim Assistant Director – Operations,
Westmorland and Furness Council

Title – **Overview of Hosted Services in Adult Social Care – additional questions arising from meeting on 14th December 2023**



How many 'clients' are seen within a 12-month period.

On average, the Council receives 1605 requests for Mental Health Act assessments per year. A request for a MHAA do not, however, mean that an assessment will need to be mobilised. There might be actions that can be taken by the AMHP or health professionals to ensure an assessment does not need to be mobilised. The average number of Mental Health Act assessments undertaken each year is 1491.

Is there a trend in demand.

Over a 5-year period, we have seen a reduction in the amount of Mental Health Act assessments requested per year. There are several potential factors for this, such as the impact of Street Triage in North and West Cumbria. During the first period of COVID, when the Country was in lockdown, there was also a slight decline in requests for MHAA.

Even though there has been a reduction in the amount of MHAA requested each year, the time it takes to mobilise and complete an assessment is steadily increasing. The reasons for this appear to be resource driven – lack of available psychiatric beds/lack of available Doctors. As such, we have seen a steady increase over the last 4 years in what are commonly referred to as 'second AMHP assessments.' A second AMHP assessment is where a new AMHP is required to complete a Mental Health Act assessment due to their being a lack of an available bed during the first assessment. The second AMHP is the applicant under the Mental Health Act and therefore needs to consider whether they are willing to make an application based on the available medical recommendations from the first assessment. The 2nd AMHP must interview the patient again.

In terms of locations of assessments, it continues to be the case that a higher percentage of assessments are conducted in Cumberland. The majority of assessments are conducted in urban areas rather than rural or extra rural locations.

Individuals in the 18/65 age range remain the highest proportion of people assessed under the Act. This is followed by individuals in the 65 to 84 age group.

On average we assess 34 children per year under the Act. There has been a year-on-year decline in the number of children assessed under the Act since 2020. It is difficult to pinpoint exactly why this might be the case; however, it could be linked to additional support generated via the NHS (including enhanced gatekeeping assessments) if it is indicated a child might require a CAMHS T4 bed admission.



In terms of sex, there remains a constant pattern of marginally more women than men being assessed under the Act every year.

On average we assess 1 individual per year identifying as non-binary. The average for individuals identifying as Transgender is 5 per year.

What is the impact of Covid and the Cost-of-Living Crisis on that trend in demand.

It is well known that environmental, social and psychological factors have a crucial influence on mental wellbeing and that social inequalities are associated with mental distress.

For many, the pandemic detached individuals from their important social networks or exacerbated a pre-existing sense of isolation. In the context of the Cost-of-living crisis, the stress of debt, uncertain employment and rising prices are all psycho-social factors that can either precipitate mental ill-health or contribute to a relapse in presentation.

From discussions with Approved Mental Health Professional colleagues, the following has been noted:

- In the initial stages of the pandemic, there seemed to be some reduction in requests for MHA assessments. This could be due to increased difficulties for people to go to environments such as the pub. During lockdown, securing drugs also became more challenging for some. This had a temporary effect of reducing the cases where alcohol and illicit substances were factors that might contribute to the deterioration in individuals' mental health.
- Following an initial reduction in requests for Mental Health Act assessments during the first month of lockdown 1, there seemed to be an increase in first presentation of psychosis.

Unfortunately, it is not possible to collect exact data from Adult Social Care's electronic recording system (IAS) regards how the pandemic and cost-of-living has impacted on admissions to hospitals under the Mental Health Act. This is because such references would likely be in the free text of the AMHP's assessment. As such the information is not a mandatory data collection point.

Whilst there is a developing knowledge base that suggests a link between the pandemic/ cost-of-living crisis and an increase in people presenting as mentally unwell, this does not necessarily mean that as a result more individuals are coming into the sphere of the Mental



Health Act. This is because prior to a referral being made for a Mental Health Act assessment, individuals quite often receive input from primary, secondary or crisis mental health services. These services are skilled in identifying social triggers that can lead to a mental health crisis and as such provide onward support as needed.

What is the geographic spread in the demand for the service?

Available figures tell us that most assessments take place in Cumberland, with the concentration of assessments taking place in urbanised areas such as Carlisle and the West of the County.

Is the pool of Section 12 Doctors large or small.

The most recent data available from Section 12 Solutions – the tool used to contact S12 Doctors – highlights that we have 88 Doctors registered on the platform. It is important to note that this platform still includes CNTW consultant capacity. As highlighted in the report for December scrutiny, CNTW consultants do not support the on-call rota. Therefore, this contingency of Doctors is effectively discounted when considering Doctor availability.

From December 2023 figures, we utilised 24 Doctors for Mental Health Act assessments. 8 of this cohort completed 10 or more assessments.

Cumberland Council's AMHP service. continues to have a heavy reliance on Doctors from the South of the County to support assessments in the North and West of Cumbria.

What is the division of AMHPs between the two authorities with disaggregation?

In terms of Cumberland Council and Westmorland and Furness Council's current sufficiency of AMHPs, there is a clear majority of AMHPs based in Cumberland. It is important to remember the sufficiency includes AMHPs who do not work for the Urgent Care Team,

If the principles of the Inter Authority Agreement were to be applied, Cumberland would take 8 AMHPs from the Urgent Care Team and Westmorland and Furness would take 6.

The disaggregation of the Urgent Care Team, however, will need to follow the prescribed process as set out below:

Staff Allocation Framework



- What is the job title?
- Is this a specific professional discipline?
- What is the purpose of the role?
- Is this the only role of this type?
- Does the role carry out a specific statutory responsibility?
- Is it a corporate or enabling service which only supports a specific service?



- What is the grade and salary?
- How is the role funded?
- Which geographic areas does the role deliver?
- What is the current workbase?
- What proportion of time is spent virtual/home working versus in person working?



- What will the leadership structure be for the new council from vesting day?
- How will the service be delivered in the new council?
- What is the budget for the new service?



- Where do they live?
- Are there any issues that would make working for one or the other council particularly difficult for them personally or professionally?
- Do they have a preference?
- Do they have any specific objections to working for one council or the other?

Principles in applying the framework:

- Ensuring a balance of skills between the two authorities.
- The requirement to meet service need, led by service management teams.
- Individual staff have a voice, and their preferences will be considered.
- The allocation must be financially sustainable for the new authorities.
- Consideration to where the work is moving to.



Clarification around costs included in original scrutiny report.

The £912k funds only the basic pay, National Insurance and Superannuation and is costed on the same basis as for all other staff. This is budgeted for.

The £56k is additional and covers additional allowances for unsocial hours, overtime, lump sums etc. This is not budgeted for and is therefore a cost pressure.

Work is on-going from a Cumberland finance perspective to address this pressure as part of work to realign the wider care management budget with establishment/people in post.

What are the key drivers which would be achieved by disaggregation?

Disaggregation by its very nature provides an opportunity to deliver services in a different way; responsive to the needs of the communities we support. Both Councils for instance are alert to the way in which out of hours services could be delivered in the future. Housing as an example now sits as a duty within both Unitary Authorities and as such there is a need to consider the 24/7, 365-day offer relating to homelessness. A space now exists for both Councils to consider whether a consolidated out of hours offer is achievable around several areas of statutory responsibility. Within this, there might be scope to achieve some efficiencies.

It is important, however, to recognise that AMHPs are a premium resource. As such, both Councils are cognisant to that fact that disaggregating the Urgent Care Team in the context of AMHP related activity might not be achievable or desirable. It must be noted that the Mental Health Act regulations allow for Local Authorities to authorise AMHPs approved by another authority to act on their behalf. This creates space for Cumberland Council and Westmorland and Furness Council to enter a different type of relationship, out with of the current hosting arrangement, that could keep the critical mass of the Urgent Care Team AMHP capacity together as a Cumbria wide offer.

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Background papers: Note: in compliance with section 100d of the Local Government Act 1972 the report has been prepared in part from the following papers: N/A

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Report to the Joint Overview and Scrutiny Committee

Meeting Date – 26 February 2024

Key Decision – No

Public/Private – Public

Portfolio – Cllr Markus Campbell Savours – Cumberland
Cllr Jonathan Brook - Westmorland and Furness

Directorate – Business, Transformation and Change, Cumberland
Assistant Chief Executive, Westmorland and Furness

Lead Officer – Jo Atkinson – Director of Business, Transformation and Change,
Cumberland Council
Alison Hatcher, Assistant Chief Executive, Westmorland and Furness
Council

Title – Joint Disaggregation Programme progress report

Summary:

This report provides an update on progress against the proposed disaggregation programme for hosted services in Westmorland and Furness Council and Cumberland Council.

Recommendations:

It is recommended that Members of the Joint Overview and Scrutiny Committee:

- Note the update on progress of the disaggregation of hosted services provided in the report.
- Refer any proposed amendments to the disaggregation programme to the Joint Executive Committee for consideration.

1. Background

- 1.1. An overview of hosted services was provided to the Joint Overview and Scrutiny Committee on 30 October 2023. The report detailed the proposed dates for disaggregation set out within the Inter Authority Agreement (IAA) entered in to by Cumberland Council and Westmorland and Furness Council to govern the provision of hosted services.
- 1.2. Under the IAA, the Joint Executive Committee is responsible for the oversight and management of the hosted services including the development and implementation of disaggregation plans.
- 1.3. In some cases, the disaggregation date detailed in the IAA has been changed to meet the needs of each council and to support effective service delivery. All proposed changes to disaggregation dates have been reported to the Joint Executive Committee following completion of an options appraisal and consideration given to the ability for the service to disaggregate safely and legally.
- 1.4. An update on the services successfully disaggregated to date, and those due to disaggregate is set out below.

Hosted services successfully disaggregated

- 1.5. The following services have been successfully disaggregated since the programme commenced on 01 April 2023:

Hosted service	Host authority	Proposed disaggregation date (as per IAA)	Actual disaggregation date (achieved)
Global Resettlement Team	Cumberland	30 September 2023	30 September 2023
School Organisation and Admissions	Westmorland and Furness	30 September 2023	30 September 2023
Customer Service Team (former County Service Centre)	Westmorland and Furness	31 October 2023	31 October 2023
Human Resources / Organisational Development (HR/OD) Centralised Resourcing	Westmorland and Furness	31 March 2025	31 October 2023
HR/OD Pay and Reward and Pension Advisor	Westmorland and Furness	31 March 2025	31 October 2023

Hosted service	Host authority	Proposed disaggregation date (as per IAA)	Actual disaggregation date (achieved)
HR/OD Organisational Development and Workforce Training	Cumberland	31 March 2025	31 October 2023
Children's Services - Improvement / Quality Assurance	Cumberland	31 March 2024	30 September 2023
Children's Services - Integration and Partnerships	Cumberland	31 March 2024	31 October 2023
Children's Services - Local Authority Designated Officer (LADO)	Cumberland	31 March 2024	31 December 2023

Services due to disaggregate on 31 March 2024

1.6. The following services are expected to disaggregate on 31 March 2024 (subject to the safe and legal requirements of both authorities):

Hosted service	Host authority	Proposed Disaggregation date (as per IAA)	Forecast disaggregation date (revised dates approved by the JEC)
Public Health – Education Infection and Prevention and Control Team	Cumberland	31 March 2024	31 March 2024 (no change)
Public Libraries – Operational Leadership and Delivery Lead, and Culture and outreach management	Cumberland	31 March 2025	31 March 2024 (disaggregation brought forward by 12 months)
Former county HR/OD – Social Work Academy	Cumberland	31 March 2025	31 March 2024 (disaggregation brought forward by 12 months)

Hosted service	Host authority	Proposed Disaggregation date (as per IAA)	Forecast disaggregation date (revised dates approved by the JEC)
Human Resources (HR) Payroll Administration: Recruitment and DBS	Cumberland	31 March 2025	31 March 2024 (disaggregation brought forward by 12 months)
Independent Placement Team (Commissioning)	Westmorland and Furness	No date stated	31 March 2024
Finance – former county Insurance Team	Westmorland and Furness	31 March 2024	31 March 2024 (no change)
Digital Innovation and Customer Experience	Westmorland and Furness	30 September 2024	31 March 2024 (disaggregation brought forward by 6 months)

Services due to disaggregate after 31 March 2024

1.7. The following services are due to disaggregate after 31 March 2024.

Hosted service	Host authority	Current forecast disaggregation date
Apprenticeship Service (Workforce planning and resourcing)	Westmorland and Furness	30 September 2024
Adults Urgent Care Team	Cumberland	30 September 2024 or 31 March 2025 (at the latest)
Children's Emergency Duty Team	Westmorland and Furness	No date specified
Economic Programmes - Accountable Body Assurance	Westmorland and Furness	No date specified (dependent upon the arrangements to be agreed for the Local Enterprise Partnership function)
Apprenticeship Service (apprenticeship levy)	Westmorland and Furness	31 March 2025
Finance – Core Financial Systems	Cumberland	31 March 2025
Finance – Purchase to Pay/Accounts Payable/Account Receivable	Cumberland	31 March 2025

Hosted service	Host authority	Current forecast disaggregation date
Finance – School’s Finance Team	Westmorland and Furness	31 March 2025
County Records Management	Cumberland	31 March 2025
County ICT	Cumberland	At least 31 March 2025
Human Resources (HR) Payroll Administration: Payroll and HR Administration Services	Cumberland	31 March 2025
Digital Infrastructure / Connecting Cumbria	Cumberland	31 December 2025
Registration Service	Cumberland	31 March 2026

Services currently hosted under a long-term arrangement between Cumberland Council and Westmorland and Furness Council.

1.8. The following services are currently hosted under a long-term arrangement.

Hosted service	Host authority
Active Cumbria	Cumberland
Active Travel	Cumberland
Adoption	Cumberland
Adult Learning	Westmorland and Furness
Archive Service	Cumberland
Emergency Planning and Resilience	Westmorland and Furness
Fostering	Cumberland
Residential and Edge of Care Homes	Cumberland
Waste Disposal	Cumberland
Public Libraries Back Office & Stock Management, and Library Services for Schools (LSS)	Cumberland (long-term hosted from 1 April 2024)

2. Proposals

2.1. The report includes details of the current programme for the disaggregation of hosted arrangements.

2.2. Delivery of the disaggregation programme will continue in line with the dates set out in paragraphs 1.6 and 1.7 above unless alternative proposals are approved by the Joint Executive Committee.

3. Alternative options considered

3.1. The Joint Overview and Scrutiny Committee could choose to refer any suggested amendments to the disaggregation schedule for consideration by the Joint Executive Committee.

4. Conclusion and reasons for recommendations

4.1. The report sets out the current hosting arrangements and the proposed programme of disaggregation for services currently operating across both Cumberland Council and Westmorland and Furness Council.

4.2. Members of the Joint Overview and Scrutiny Committee are recommended to note the update on progress of the disaggregation and refer any proposed amendments to the disaggregation programme to the Joint Executive Committee for consideration.

Implications:

Contribution to the Cumberland Plan and Westmorland and Furness Plan Priorities -

The report sets out the arrangements in place for the planned disaggregation of hosted services following Local Government Reorganisation. These arrangements provide the opportunity for each authority to deliver the services in a way that contributes towards each of their council plan priorities.

Relevant Risks – There are no risks arising from this report.

Consultation / Engagement - No consultation is required in relation to the recommendations set out in this report.

Legal – There are no legal implications arising from this report.

Finance – Recommendations in this report are to note progress, so there are no direct financial implications and risk arising from this report / recommendations.

Information Governance – There are no information governance implications arising from this report.

Impact Assessments –

Have you screened the decision for impacts using the Impact Assessment? No - there are no direct equality and diversity implications arising from this report. Individual Equality Impact Assessment screenings are being undertaken for service disaggregation projects.

Contact details:

Contact Officer: Jo Atkinson

Email: jo.atkinson@cumberland.gov.uk

Appendices attached to report:

Background papers:

Note: in compliance with section 100d of the Local Government Act 1972 the report has been prepared in part from the following papers: None

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Report to the Joint Overview and Scrutiny Committee

Meeting Date – 26 February 2024

Key Decision – No

Public/Private – Public

Portfolio – Cllr Markus Campbell Savours – Cumberland
Cllr Jonathan Brook - Westmorland and Furness

Directorate – Business, Transformation and Change, Cumberland
Assistant Chief Executive, Westmorland and Furness

Lead Officer – Jo Atkinson – Director of Business, Transformation and Change,
Cumberland Council
Alison Hatcher, Assistant Chief Executive, Westmorland and Furness
Council

Title – Long-term Hosted Service Review Process

Summary:

The report provides details regarding the review process for long-term hosted services and an overview of ongoing activity in relation to assessing and reviewing performance and future arrangements.

Recommendations:

It is recommended that Members of the Joint Overview and Scrutiny Committee propose to the Joint Executive Committee (JEC) that:

- An annual review of the long-term hosted services is undertaken based on performance against indicators agreed by the JEC.

- Following the annual review, Senior Responsible Owners make recommendations on the findings via the Joint Officer Board (JOB) and JEC, and outline changes to the disaggregation schedule or updates to the Service Strategies.

1. Background

1.1. An overview of hosted services was reported to the Joint Overview and Scrutiny Committee on 30 October 2023. The paper provided the rationale for long-term hosted services between Westmorland and Furness and Cumberland Councils; an overview of the 12-month review process and details of how this will be reported to the Joint Officer Board and Joint Executive Committee, and an overview of the termination provisions. Members of the committee requested further details regarding the review process for long-term hosted services, including consideration of the cost effectiveness of disaggregation.

Review process

1.2. Under 2.6 of the Inter Authority Agreement (IAA) either authority may request a review of any Functions and Services or Hosted Contracts. In addition, the specific Service Strategies provide for a periodical review. The Service Strategies are schedules that detail the agreed approach to delivering the hosted functions described within the IAA in terms of service provision, performance monitoring and costs. Details of service specific review provisions are set out in the table below.

Hosted service	Host authority	Review Process
Active Cumbria	Cumberland	The Service Strategy provides for a 12-month review process. The first review will be required shortly after 1 April 2024. The review process will be as outlined in paragraph 1.3 below.
Active Travel	Cumberland	
Adoption	Cumberland	
Adult Learning	Westmorland and Furness	
Archive Service	Cumberland	
Emergency Planning and Resilience	Westmorland and Furness	
Fostering	Cumberland	
Residential and Edge of Care Homes	Cumberland	

Waste Disposal	Cumberland	The Project Manager is required to present a draft Performance and Improvement Plan to the Joint Executive Committee for the following Contract Year by no later than 30 June in the previous Contract Year.
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- 1.3. Paragraph 2.6 of the IAA provides for the review of each Service Strategy to be facilitated by the Joint Officer Board (JOB) and the Joint Executive Committee (JEC). Periodical reviews will be carried out by the Lead Officers for the Hosted Service in the two authorities. They will report the outcome of that review into the Joint Disaggregation Board. If changes to the Service Strategy are recommended, the Joint Disaggregation Board will provide a report to the JOB. If members of the JOB are in agreement with the proposals, a report detailing the recommendations will be considered by the JEC. Any changes to Service Strategies require the approval of the JEC.

Reviews of Long-term Hosted Services

- 1.4. The table in paragraph 1.2 sets out that the majority of long-term hosted services are subject to a 12-month review process that will be required shortly after 1 April 2024, following the first year of operation. As described in paragraph 1.3, the periodic reviews will be undertaken by the Lead Officers for the Hosted services in both authorities, with outcomes reported to the Joint Disaggregation Board, and if changes are recommended, to the JOB and JEC as necessary. This process will consider the factors affecting the long-term hosting arrangements such as funding agreements, contractual arrangements, and service provision requirements from central Government, in addition to service capacity, impact on service delivery and costs of disaggregation.
- 1.5. Under the JEC terms of Reference, an Annual Report will be provided to each authority on the performance, finances, proposed service improvements and any arrangements for disaggregation. The Annual Report will include the outcome of the review of long-term hosted services.
- 1.6. The Waste IAA specifies provisions for the review of this service. The Project Manager is required to present a draft Performance and Improvement Plan to the JEC. A review of the Waste IAA and Waste Disposal Contract Performance is currently being undertaken.
- 1.7. In addition to the specified review process within each Service Strategy, reviews of services have been undertaken where changes have been identified or specific requests have been made by the JEC.

- 1.8. At the meeting of 19 September 2023, the JEC requested further information and an options appraisal in relation to disaggregation implications for the Adult Learning hosted service. A paper was submitted to the JEC on 13 November 2023 detailing the original options appraisal used throughout Local Government Reorganisation and a further analysis of the implications of disaggregation of the service for consideration. Members reviewed the information presented and commented that the cost of disaggregation would be disproportionate both in terms of finances and bureaucracy. The JEC agreed that the Adult Learning Service should remain as a single hosted service.
- 1.9. The Emergency Planning and Resilience hosted service has been reviewed as part of the development of the Stronger Local Resilience Forum (LRF) Pilot Programme. Local LRFs are the arrangements through which the Councils demonstrate their duty to cooperate, by working together with partners as required by the Civil Contingencies Act. In the Act the boundary for the LRF is directed as being co-terminus with that of the Police Authority. On 13 November 2023, the JEC agreed that the LRF Pilot will report to the JEC to provide democratic accountability of the activity, as the Joint Emergency Management and Resilience Team (JEMR) is a hosted service under the IAA.
- 1.10. The Terms of Reference set out within the IAA state that the JEC is responsible for reviewing the performance of hosted services against budget and indicators for service quality, performance and efficiency. At its meeting on 13 November 2023 the JEC agreed to formally monitor performance of long-term hosted services disaggregating after April 2025, this includes all long-term hosted services set out in paragraph 1.2.
- 1.11. The performance data for the first two quarters of 2023/24 was reported to the JEC on 25 January 2024, a copy of the report is enclosed at Appendix 1. This provides the JEC with an ongoing overview of performance, in both qualitative and quantitative terms, to identify areas of effective performance, improvement and areas for review. It is anticipated that financial reporting for hosted services will be provided to the JEC in March 2024.
- 1.12. An annual review of Service Strategies is required to update IAA Appendix Schedule 8 (Finance) to provide updated costs. This also enables changes to be implemented following amendments agreed by the JEC where a hosted service is partially disaggregating, has been extended or been agreed as a long-term hosted service. Senior Responsible Officers (SROs) are required to review and update the Strategies to ensure they reflect the current service provision, in discussion with Finance and Legal, to prepare updated documents. This process is underway to update the IAA by 31 March 2024. This also provides the opportunity for discussion about performance of the services and review of arrangements.
- 1.13. Where only partial disaggregation has been possible, a number of long-term services will be added to the IAA.

2. Proposals

- 2.1. It is proposed that Members of the Joint Overview and Scrutiny Committee recommend to the Joint Executive Committee that an annual review of the long-term hosted services is undertaken based on performance against indicators agreed by the JEC. This will provide a framework for undertaking annual reviews linked to the agreed performance monitoring.
- 2.2. Following the annual review, Senior Responsible Owners will make recommendations on the findings via the JOB and JEC and outline changes to the disaggregation schedule or updates to the Service Strategies. Assessment of the delivery of services and functions and implementing amendments through the agreed governance process will ensure hosted services continue to deliver effectively with robust monitoring in place.

3. Alternative options considered

- 3.1 Members could choose to recommend continuing with the current review arrangements as set out in the Service Strategies.

4. Conclusion and reasons for recommendations

- 4.1. In the first year of the joint working arrangements, the review process has developed in response to specific service requests and updates to Services Strategies. There is an opportunity to define the review process for long-term hosted services more clearly and link to performance indicators.

Implications:

Contribution to the Cumberland Plan and Westmorland and Furness Plan Priorities -

The report sets out the proposed arrangements for the review process associated with the long-term hosted services following Local Government Reorganisation. These arrangements provide the opportunity for each authority to deliver the services in a way that contributes towards each of their council plan priorities.

Relevant Risks – There are no risks arising from this report.

Consultation / Engagement - No consultation is required in relation to the recommendations set out in this report.

Legal – There are no legal implications arising from this report.

Finance –The performance measures proposed by this report are based on those already agreed by the JEC that have superseded those within the IAA. This was agreed in November 2023 with Q1 and Q2 performance data being provided in January 2024.

This has not impacted the finance or budget reporting elements of the IAA, so there are no significant financial implications or risks to note.

Information Governance – There are no information governance implications arising from this report.

Impact Assessments –

Have you screened the decision for impacts using the Impact Assessment? No - there are no direct equality and diversity implications arising from this report. Individual Equality Impact Assessment screenings are being undertaken for service disaggregation projects.

Contact details:

Contact Officer: Jo Atkinson
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Appendices attached to report:

Appendix 1: Performance Report provided to Joint Executive Committee in January 2024.

Background

papers:

Note: in compliance with section 100d of the Local Government Act 1972 the report has been prepared in part from the following papers:

Hosted Services Overview report to the Joint Overview and Scrutiny Committee, 30 October 2023.



Report Title:	Hosted Services Performance
Meeting:	Joint Executive Committee
Meeting Date:	25 January 2024
Report Author:	Alison Hatcher, Assistant Chief Executive, Westmorland and Furness Council Nik Hardy, Assistant Chief Executive, Cumberland Council
Lead Cabinet Member(s):	Councillor Jonathan Brook, Leader, Westmorland and Furness Councillor Mark Fryer, Cumberland
Wards Affected?	All
PUBLIC, PART EXEMPT OR FULLY EXEMPT	Public
List of Appendices (if any)	Appendix 1 – Performance Report for hosted services

1. Executive Summary

- 1.1 The purpose of this report is to provide members of the Joint Executive Committee with an update on the performance of long-term hosted services during Quarter 1 and Quarter 2 of 2023/24.

2. Recommendation

For the reasons set out in this report, it is recommended that the Joint Executive Committee –

- 2.1 Note the performance update for long-term hosted services during Quarter 1 and Quarter 2 of 2023/24 and agree to receive an update on performance during Quarter 3 at the next Committee meeting on 28 March 2024, and on a quarterly basis thereafter.

3. Information: The Rationale and Evidence

- 3.1 Under the Inter Authority Agreement entered between Westmorland and Furness Council and Cumberland Council governing the provision of hosted services, the Councils agreed to establish a Joint Executive Committee.
- 3.2 The Terms of Reference set out within the Inter Authority Agreement (IAA) state that the Joint Executive Committee is responsible for reviewing the

performance of hosted services against budget and indicators for service quality, performance and efficiency. The Committee will also receive an annual report on performance, finance and proposed service improvements.

3.3 On 13 November 2023 the Joint Executive Committee agreed to formally monitor performance of long-term hosted services due to disaggregate after April 2025.

3.4 The agreed services are:

Hosted service	Timeline disaggregation	for	Host authority
Digital Infrastructure/Connecting Cumbria	31/12/2025		Cumberland
Registration Service	31/03/2026		Cumberland
Active Cumbria and Active Travel	Long-term hosted		Cumberland
Adoption	Long-term hosted		Cumberland
Adult Learning	Long-term hosted		Westmorland and Furness
Archive Service	Long-term hosted		Cumberland
Emergency Planning and Resilience	Long-term hosted		Westmorland and Furness
Fostering	Long-term hosted		Cumberland
Residential and Edge of Care Homes	Long-term hosted		Cumberland
Waste Disposal	Long-term hosted		Cumberland

3.5 The Joint Executive Committee agreed the performance measures for each of the long-term hosted services, with a further request to provide additional qualitative data where possible. The performance data collated for Quarters 1 and 2 of 2023/24 is detailed in Appendix 1.

4. Link to Council Plan Priorities: (People, Climate, Communities, Economy and Culture, Customers, Workforce)

4.1 This report provides performance data for long-term hosted services in both Cumberland and Westmorland and Furness. This ensures that both councils have a consistent overview of performance to ensure delivery of the respective council plan priorities.

5. Consultation Outcomes (with services, ward councillors & public consultation where required)

5.1 Consultation with the Joint Executive Committee on 13 November 2023 took place to support the production of this report.

6. Alternative Options Considered

6.1 Members could choose an alternative approach to performance monitoring and focus on different areas.

7. Financial Implications and risk

7.1 There is a risk that the data provided is difficult to translate into qualitative performance reporting for members and the public to understand how effectively services are being delivered. Where possible, services have provided qualitative information to support the quantitative data sets.

7.2 If there are any issues regarding performance raised by officers that cannot be resolved, they can be escalated via the Joint Officer Board and to the Joint Executive Committee.

7.3 The annual review process set out in the IAA also allows for a review of service performance to be undertaken by the lead officers for the hosted service in the two authorities with the outcome of any reviews reported to the Joint Disaggregation Group, Joint Officer Board and Joint Executive Committee.

8. Legal & Governance Implications

8.1 None directly arising from this report.

9. Human Resources Implications

9.1 None directly arising from this report.

10. Equality & Diversity Implications (including the public sector equality duty, Armed Forces Families, Care Leavers and Health inequalities implications)

10.1 None directly arising from this report.

11. Background Information & Sources (used in preparation of this Report)

11.1 None

Appendix 1 – Performance Reporting for long-term hosted services

Digital Infrastructure/Connecting Cumbria - hosted by Cumberland

?

Connecting Cumbria

Working for Cumberland Council and Westmorland & Furness Council

Host Authority	Area Data Covers	Metric		
Cumberland	Cumberland	Number of properties that have broadband cover...	Number of properties that have broadband cover...	Number of properties that have gigabit capable b...
	Westmorland and Furness	Number of properties that have superfast broadb...	Percentage of 4G outdoor geographic coverage f...	Percentage of 4G outdoor geographic coverage f...
		Percentage of properties that have broadband co...	Percentage of properties that have gigabit capabl...	Percentage of properties that have superfast bro...

RAG Rating	Direction of Travel	
No RAG	Improved	Sustained

16 Metrics

URN	Area Data Covers	Metric	Current value	Current period	Previous value	Previous period	DOT	12 Month Trend	Target	RAG	Good is	Reporting Frequency	Data Source
DI1c	Cumberland	Number of properties that have superfast broadband coverage > 30Mbps download	138801	September 2023	138654	June 2023	↑				High	Quarterly	
DI1wf	Westmorland and Furness	Number of properties that have superfast broadband coverage > 30Mbps download	120047	September 2023	120047	June 2023	→				High	Quarterly	
DI2c	Cumberland	Percentage of properties that have superfast broadband coverage > 30Mbps download	94.6	September 2023	94.5	June 2023	↑				High	Quarterly	
DI2wf	Westmorland and Furness	Percentage of properties that have superfast broadband coverage > 30Mbps download	94.2	September 2023	94.2	June 2023	→				High	Quarterly	
DI3c	Cumberland	Number of properties that have gigabit capable broadband coverage of at least 1,000Mbps download	40056	September 2023	35654	June 2023	↑				High	Quarterly	
DI3wf	Westmorland and Furness	Number of properties that have gigabit capable broadband coverage of at least 1,000Mbps download	66778	September 2023	61935	June 2023	↑				High	Quarterly	
DI4c	Cumberland	Percentage of properties that have gigabit capable broadband coverage of at least 1,000Mbps download	27.3	September 2023	24.3	June 2023	↑				High	Quarterly	
DI4wf	Westmorland and Furness	Percentage of properties that have gigabit capable broadband coverage of at least 1,000Mbps download	52.4	September 2023	48.6	June 2023	↑				High	Quarterly	
DI5c	Cumberland	Number of properties that have broadband coverage of <10Mbps download	4255	September 2023	4402	June 2023	↓				Low	Quarterly	
DI5wf	Westmorland and Furness	Number of properties that have broadband coverage of <10Mbps download	4078	September 2023	4078	June 2023	→				Low	Quarterly	

Digital Infrastructure/Connecting Cumbria - hosted by Cumberland (continued)

?

Connecting Cumbria

Working for Cumberland Council and Westmorland & Furness Council

Host Authority	Area Data Covers	Metric		
Cumberland	Cumberland	Number of properties that have broadband cover...	Number of properties that have broadband cover...	Number of properties that have gigabit capable b...
	Westmorland and Furness	Number of properties that have superfast broadb...	Percentage of 4G outdoor geographic coverage f...	Percentage of 4G outdoor geographic coverage f...
		Percentage of properties that have broadband co...	Percentage of properties that have gigabit capabl...	Percentage of properties that have superfast bro...

RAG Rating	Direction of Travel	
No RAG	Improved	Sustained

16 Metrics

URN	Area Data Covers	Metric	Current value	Current period	Previous value	Previous period	DOT	12 Month Trend	Target	RAG	Good is	Reporting Frequency	Data Source
DI6c	Cumberland	Percentage of properties that have broadband coverage of <10Mbps download	2.9	September 2023	3	June 2023	↓	/			Low	Quarterly	
DI6wf	Westmorland and Furness	Percentage of properties that have broadband coverage of <10Mbps download	3.2	September 2023	3.2	June 2023	→	—			Low	Quarterly	
DI7c	Cumberland	Percentage of 4G outdoor geographic coverage from at least one Mobile Network Operator (MNO)	86.8	September 2023	86.8	June 2023	→	—			High	Quarterly	
DI7wf	Westmorland and Furness	Percentage of 4G outdoor geographic coverage from at least one Mobile Network Operator (MNO)	93.2	September 2023	93.2	June 2023	→	—			High	Quarterly	
DI8c	Cumberland	Percentage of 4G outdoor geographic coverage from all four Mobile Network Operators (MNO)	66.1	September 2023	66.1	June 2023	→	—			High	Quarterly	
DI8wf	Westmorland and Furness	Percentage of 4G outdoor geographic coverage from all four Mobile Network Operators (MNO)	62.5	September 2023	62.5	June 2023	→	—			High	Quarterly	

NB. Reporting for DI7 and DI8 are provided bi-annually in line with OFCOM 4G reporting schedules.

Digital Infrastructure/Connecting Cumbria qualitative performance reporting:

Connecting Cumbria, working on behalf of both Cumberland and Westmorland and Furness Councils to deliver the cross Cumbria Digital Infrastructure Strategy 2020-25, has continued to progress work to improve digital infrastructure across the county. This work has included monitoring and supporting the delivery of the Project Gigabit and Shared Rural Network Programmes

The Project Gigabit regional contract, awarded by Building Digital UK to Fibrus Ltd at the end of 2022, is actively deploying gigabit capable broadband across the county to up to 60,000 properties. Over 1,300 properties are already able to order services and further areas are going live each quarter. Subject to completion of declared commercial programmes and all works by Project Gigabit, Cumbria's gigabit coverage is anticipated to exceed 99% of properties by the end of 2026; all works under Project Gigabit are currently expected to be completed in 2026. It is anticipated that a small number of properties in Cumbria, less than 900, may be impractical to target with the current Project Gigabit delivery approaches and Cumbria is expected to be a pilot area for the developing pilot programme with Building Digital UK to ensure that no-one and no place is left behind.

The UK Government Shared Rural Network programme to expand outdoor 4G coverage by at least one mobile network operator to 97% of the Cumbria landmass and 80% from all four is also progressing. The Home Office Emergency Services 4G Network contributes to these targets.

Registration Service – hosted by Cumberland (Targets are set by the General Register Office)

Registration service

Working for Cumberland Council and Westmorland & Furness Council

Host Authority	Area Data Covers	Metric		
Cumberland	Cumberland	Percentage of births (or declarations) within 5 wo...	Percentage of births registered within 42 days	Percentage of deaths (or declaration) – within 2 ...
	Westmorland and Furness	Percentage of Marriage/Civil Partnership notices ...	Percentage of Medical Certificates of Cause of D...	Percentage of still births (or declarations) – within...
		Percentage of still births registered within 42 days		

RAG Rating	Direction of Travel
Green	Improved
	Worsened
	Sustained

URN	Area Data Covers	Metric	Current value	Current period	Previous value	Previous period	DOT	12 Month Trend	Target	RAG	Good is	Reporting Frequency	Data Source
RS1c	Cumberland	Percentage of births (or declarations) within 5 working days of request	82.41	September 2023	66.01	June 2023	↑		95	●	High	Quarterly	Stopford
RS1wf	Westmorland and Furness	Percentage of births (or declarations) within 5 working days of request	78.83	September 2023	84.56	June 2023	↓		95	●	High	Quarterly	Stopford
RS2c	Cumberland	Percentage of still births (or declarations) – within 2 working days of request	100	September 2023	100	June 2023	→		95	●	High	Quarterly	Stopford
RS2wf	Westmorland and Furness	Percentage of still births (or declarations) – within 2 working days of request	100	September 2023	100	June 2023	→		90	●	High	Quarterly	Stopford
RS3c	Cumberland	Percentage of deaths (or declaration) – within 2 working days of request	98.84	September 2023	78.84	June 2023	↑		98	●	High	Quarterly	Stopford
RS3wf	Westmorland and Furness	Percentage of deaths (or declaration) – within 2 working days of request	93.47	September 2023	85.68	June 2023	↑		90	●	High	Quarterly	Stopford
RS4c	Cumberland	Percentage of Marriage/Civil Partnership notices – within 10 working days request	56.49	September 2023	29.19	June 2023	↑		98	●	High	Quarterly	Stopford
RS4wf	Westmorland and Furness	Percentage of Marriage/Civil Partnership notices – within 10 working days request	58.33	September 2023	45.39	June 2023	↑		95	●	High	Quarterly	Stopford
RS5c	Cumberland	Percentage of births registered within 42 days	99	September 2023	98	June 2023	↑		95	●	High	Quarterly	Stopford
RS5wf	Westmorland and Furness	Percentage of births registered within 42 days	95	September 2023	98	June 2023	↓		95	●	High	Quarterly	Stopford
RS6c	Cumberland	Percentage of still births registered within 42 days	100	September 2023	100	June 2023	→		95	●	High	Quarterly	Stopford
RS6wf	Westmorland and Furness	Percentage of still births registered within 42 days	100	September 2023	100	June 2023	→		98	●	High	Quarterly	Stopford
RS7c	Cumberland	Percentage of Medical Certificates of Cause of Death (MCCD's) (no coronial involvement) registered within 5 days, including data where the MCCD was signed on day 4 or later	75	September 2023	44	June 2023	↑		95	●	High	Quarterly	Stopford
RS7wf	Westmorland and Furness	Percentage of Medical Certificates of Cause of Death (MCCD's) (no coronial involvement) registered within 5 days, including data where the MCCD was signed on day 4 or later	57	September 2023	49	June 2023	↑		98	●	High	Quarterly	Stopford

Registration Service - qualitative performance reporting:

Customer feedback provided during 2023/24 to the end of Quarter 2.

Office	Number of cards completed to end of Q2	Initial handling of enquiry- % rated excellent	Appointment offered within 5 days - % yes	Seen within 10 minutes of appointment time - % yes	Did you find staff courteous - % yes	Was office environment suitable for your needs - % yes	How satisfied were you with the service received today - % rated excellent
Carlisle	97	100%	95%	100%	100%	100%	99%
Whitehaven	21	81%	100%	100%	100%	100%	90%
Cumberland	118	91%	98%	100%	100%	100%	95%

Office	Number of cards completed to end of Q2	Initial handling of enquiry- % rated excellent	Appointment offered within 5 days - % yes	Seen within 10 minutes of appointment time - % yes	Did you find staff courteous - % yes	Was office environment suitable for your needs - % yes	How satisfied were you with the service received today - % rated excellent
Kendal	4	50%	100%	100%	100%	100%	100%
Barrow	128	97%	88%	100%	100%	99%	98.50%
Penrith	5	100%	80%	100%	100%	100%	100%
W&F	137	82%	89%	100%	100%	100%	100%

Active Cumbria and Active Travel – hosted by Cumberland

?

Active Cumbria

Working for Cumberland Council and Westmorland & Furness Council

Host Authority	Area Data Covers	Metric		
Cumberland	Cumberland	Percentage of adults who are Active (150+ minut...	Percentage of adults who are Inactive (less than ...	Percentage of children who are Active (60+ minut...
	Westmorland and Furness	Percentage of children who are Inactive (active fo...		

RAG Rating	Direction of Travel	
Green	Red	Sustained

8 Metrics

URN	Area Data Covers	Metric	Current value	Current period	Previous value	Previous period	DOT	12 Month Trend	Target	RAG	Good is	Reporting Frequency	Data Source
AC1c	Cumberland	Percentage of adults who are Active (150+ minutes of activity per week)	59.8	September 2023	59.8	June 2023	→	<div style="width: 100%; border-bottom: 1px solid black; margin-bottom: 2px;"></div>	65.2	●	High	Annual	Active Lives Survey (Sport England)
AC1wf	Westmorland and Furness	Percentage of adults who are Active (150+ minutes of activity per week)	67.2	September 2023	67.2	June 2023	→	<div style="width: 100%; border-bottom: 1px solid black; margin-bottom: 2px;"></div>	72.5	●	High	Annual	Active Lives Survey (Sport England)
AC2c	Cumberland	Percentage of children who are Active (60+ minutes of activity per day)	49.2	September 2023	49.2	June 2023	→	<div style="width: 100%; border-bottom: 1px solid black; margin-bottom: 2px;"></div>	62.8	●	High	Annual	Active Lives Survey (Sport England)
AC2wf	Westmorland and Furness	Percentage of children who are Active (60+ minutes of activity per day)	47.3	September 2023	47.3	June 2023	→	<div style="width: 100%; border-bottom: 1px solid black; margin-bottom: 2px;"></div>	57.2	●	High	Annual	Active Lives Survey (Sport England)
AC3c	Cumberland	Percentage of adults who are Inactive (less than 30 minutes of activity per week)	28.6	September 2023	28.6	June 2023	→	<div style="width: 100%; border-bottom: 1px solid black; margin-bottom: 2px;"></div>	25.8	●	Low	Annual	Active Lives Survey (Sport England)
AC3wf	Westmorland and Furness	Percentage of adults who are Inactive (less than 30 minutes of activity per week)	20.8	September 2023	20.8	June 2023	→	<div style="width: 100%; border-bottom: 1px solid black; margin-bottom: 2px;"></div>	25.8	●	Low	Annual	Active Lives Survey (Sport England)
AC4c	Cumberland	Percentage of children who are Inactive (active for less than an average of 30 minutes per day)	29.9	September 2023	29.9	June 2023	→	<div style="width: 100%; border-bottom: 1px solid black; margin-bottom: 2px;"></div>	30.1	●	Low	Annual	Active Lives Survey (Sport England)
AC4wf	Westmorland and Furness	Percentage of children who are Inactive (active for less than an average of 30 minutes per day)	32.7	September 2023	32.7	June 2023	→	<div style="width: 100%; border-bottom: 1px solid black; margin-bottom: 2px;"></div>	30.1	●	Low	Annual	Active Lives Survey (Sport England)



Active Travel



Westmorland & Furness Council

Working for Cumberland Council and Westmorland & Furness Council

Host Authority

Cumberland

Area Data Covers

Cumberland

Westmorland and Furness

Metric

Number of children trained through Active Travel ...

Number of people engaged in Travel Actively pro...

Number of people engaged Travel Actively

RAG Rating

No RAG

Red

Direction of Travel

Improved

Worsened

4 Metrics



URN	Area Data Covers	Metric	Current value	Current period	Previous value	Previous period	DOT	12 Month Trend	Target	RAG	Good is	Reporting Frequency	Data Source
AT1c	Cumberland	Number of children trained through Active Travel to School programme	371	September 2023	717	June 2023	↓		4048	●	High	Quarterly	Active Travel KPIs
AT1wf	Westmorland and Furness	Number of children trained through Active Travel to School programme	543	September 2023	511	June 2023	↑		2698	●	High	Quarterly	Active Travel KPIs
AT2c	Cumberland	Number of people engaged in Travel Actively programme	125	September 2023	48	June 2023	↑				High	Quarterly	Active Travel KPIs
AT2wf	Westmorland and Furness	Number of people engaged Travel Actively	99	September 2023	28	June 2023	↑				High	Quarterly	Active Travel KPIs

Active Cumbria and Active Travel qualitative performance reporting: The annual report for Active Cumbria is published every September. The report for 2022/23 is enclosed.



22-23 Active
Cumbria Annual Reprc

Adoption – hosted by Cumberland

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Adoption

Working for Cumberland Council and Westmorland & Furness Council

Host Authority	Area Data Covers	Metric		
Cumberland	Cumberland	Average time between entering care and placem...	Average time between placement order and matc...	Average timescale for adopter approval
Cumbria	Cumbria	Average timescale for adopter approval (days)	Average timescale to match to adopter	Average timescale to match to adopter (days)
	Westmorland and Furness	Number of adopter approvals	Number of adopter enquiries	Number of adoptive families matched to children
		Number of children with plan for adoption waiting ...		

RAG Rating	Direction of Travel
(Blank)	(Blank)
No RAG	Improved
	Worsened

23 Metrics

URN	Area Data Covers	Metric	Current value	Current period	Previous value	Previous period	DOT	12 Month Trend	Target	RAG	Good is	Reporting Frequency	Data Source
A1c	Cumberland	Number of adopter enquiries	18	September 2023	14	June 2023	↑	////			High	Quarterly	LCS
A1wf	Westmorland and Furness	Number of adopter enquiries	14	September 2023	12	June 2023	↑	////			High	Quarterly	LCS
A2	Cumbria	Number of adopter approvals						•	////		High	Quarterly	LCS
A2c	Cumberland	Number of adopter approvals	4	September 2023	1	June 2023	↑	////			High	Quarterly	LCS
A2wf	Westmorland and Furness	Number of adopter approvals	3	September 2023	4	June 2023	↓	////			High	Quarterly	LCS
A3	Cumbria	Average timescale (in days) for adopter approval	256	March 2023				•	////		Low	Quarterly	LCS
A3c	Cumberland	Average timescale (in days) for adopter approval	289	September 2023	252	June 2023	↑	////			Low	Quarterly	LCS
A3wf	Westmorland and Furness	Average timescale (in days) for adopter approval	249	September 2023	205	June 2023	↑	////			Low	Quarterly	LCS
A4	Cumbria	Number of adoptive families matched to children						•	////		High	Quarterly	LCS
A4c	Cumberland	Number of adoptive families matched to children	1	September 2023	2	June 2023	↓	////			High	Quarterly	LCS
A4wf	Westmorland and Furness	Number of adoptive families matched to children	1	September 2023	4	June 2023	↓	////			High	Quarterly	LCS
A5	Cumbria	Average timescale (in days) to match to adopter	435	March 2023				•	////		Low	Quarterly	LCS
A5c	Cumberland	Average timescale (in days) to match to adopter		September 2023	159	June 2023	↓	////			Low	Quarterly	LCS
A5wf	Westmorland and Furness	Average timescale (in days) to match to adopter	149	September 2023	304	June 2023	↓	////			Low	Quarterly	LCS
A6	Cumbria	Number of children with plan for adoption waiting for placement						•	////		Low	Quarterly	LCS
A6c	Cumberland	Number of children with plan for adoption waiting for placement	21	September 2023	25	June 2023	↓	////			Low	Quarterly	LCS
A6wf	Westmorland and Furness	Number of children with plan for adoption waiting for placement	13	September 2023	21	June 2023	↓	////			Low	Quarterly	LCS

URN	Area Data Covers	Metric	Current value	Current period	Previous value	Previous period	DOT	12 Month Trend	Target	RAG	Good is	Reporting Frequency	Data Source
A7	Cumbria	Average time (in days) between placement order and match with adoptive family						•			Low	Quarterly	LCS
A7c	Cumberland	Average time (in days) between placement order and match with adoptive family	145	September 2023	120	June 2023	↑				Low	Quarterly	LCS
A7wf	Westmorland and Furness	Average time (in days) between placement order and match with adoptive family	219	September 2023	243	June 2023	↓				Low	Quarterly	LCS
A8	Cumbria	Average time (in days) between entering care and placement with adoptive family						•			Low	Quarterly	LCS
A8c	Cumberland	Average time (in days) between entering care and placement with adoptive family	427	September 2023	581	June 2023	↓				Low	Quarterly	LCS
A8wf	Westmorland and Furness	Average time (in days) between entering care and placement with adoptive family	351	September 2023	678	June 2023	↓				Low	Quarterly	LCS

NB. Where measures refer to average timescales or average time, the performance is calculated in days.

Adoption qualitative performance reporting: Bi-annual report completed in May and November each year can provide additional information if required, consideration needed regarding sensitive information within the report.

Adult Learning- hosted by Westmorland & Furness

The performance metrics detailed below have been confirmed, however the service has requested that reporting to the Joint Executive Committee commences from the production of the Quarter 3 2023/24 report in line with their reporting calendar.

Ref	Metric	Format	Frequency	Data available split between Cumberland and W&F?
AL1	Number of enrolments to health and well-being programme	Number	Quarterly commencing Q3 2023/24	Yes
AL2	Number of enrolments to digital ICT programme	Number	Quarterly commencing Q3 2023/24	Yes
AL3	Percentage of learners who progress to further learning following completion of targeted skills programme	Percentage	Quarterly commencing Q3 2023/24	Yes
AL4	Percentage of learners who enrol who achieve qualification	Percentage	Annually commencing Q3 2023/24	Yes
AL5	Percentage of learners who enrol who achieve Math qualification	Percentage	Annually commencing Q3 2023/24	Yes
AL6	Percentage of learners who enrol who achieve English qualification	Percentage	Annually commencing Q3 2023/24	Yes

AL7	Percentage of learners who enrol who achieve Digital qualification	Percentage	Annually commencing Q3 2023/24	Yes
AL8	Percentage of learners who enrol who achieve GCSE English and maths qualification	Percentage	Annually commencing Q3 2023/24	Yes

Archive Service – hosted by Cumberland

?

Archives Service

Working for Cumberland Council and Westmorland & Furness Council

Host Authority	Area Data Covers	Metric		
Cumberland	Cumbria	Accessions (number of new deposits received)	Digitisation (new digital images plus uploads). Gi...	Enquiries (sum of visitors and remote enquires)
		New catalogue records (catalogue entries created)	Volunteer hours	

RAG Rating	Direction of Travel	
No RAG	Improved	Worsened

5 Metrics

URN	Area Data Covers	Metric	Current value	Current period	Previous value	Previous period	DOT	12 Month Trend	Target	RAG	Good is	Reporting Frequency	Data Source
AS1	Cumbria	Enquiries (sum of visitors and remote enquires)	3068	September 2023	2834	June 2023	↑	↔			High	Quarterly	Archive Service MI
AS2	Cumbria	Digitisation (new digital images plus uploads)	812.92	September 2023	887.84	June 2023	↓	↔			High	Quarterly	Archive Service MI
AS3	Cumbria	Accessions (number of new deposits received)	77	September 2023	92	June 2023	↓	↔			High	Quarterly	Archive Service MI
AS4	Cumbria	New catalogue records (catalogue entries created)	8424	September 2023	28864	June 2023	↓	↔			High	Quarterly	Archive Service MI
AS5	Cumbria	Volunteer hours	554	September 2023	878	June 2023	↓	↔			High	Quarterly	Archive Service MI

Archive Service qualitative performance reporting:

The archive service performance data varies from quarter to quarter. Accessions always depend on demand to deposit records in our Archive Centres and there is no way of predicting a trend.

Regarding catalogue entries, occasional bulk uploads to the catalogue tend to cause spikes in the numbers. Likewise, there will be variation in the digitisation figure and there is no trend to report here.

With regard to volunteer numbers, the archive service has reviewed how we manage our volunteers and added regular breaks, broadly following academic term times. This allows the staff to have a break from supervising volunteers and allows time to review

the projects offered. This means the numbers will not follow a consistent quarterly model but the offer works very well for the volunteers.

In addition, the regular volunteers in conservation from Northumbria university (usually 12-18 hours per week in term time) are not attending this year due to low numbers enrolled on the course. While we continue to support this program we can't recruit from elsewhere, as this course is now the only course offered in the UK.

Emergency Planning and Resilience - hosted by Westmorland & Furness

?

Emergency Planning and Resilience

Working for Cumberland Council and
Westmorland & Furness Council

Press Esc to exit full screen

Host Authority	Area Data Covers	Metric		
Westmorland and Furness	Cumberland	Five key plans (nuclear, military, pipeline, chemic...	Four key plans (nuclear, military, pipeline, chemic...	Number of people trained
	Westmorland and Furness	Number of risks assessed	Provision of suitably qualified and appropriately tr...	

RAG Rating	Direction of Travel
No RAG	(Blank)

8 Metrics

URN	Area Data Covers	Metric	Current value	Current period	Previous value	Previous period	DOT	12 Month Trend	Target	RAG	Good is	Reporting Frequency	Data Source
EPR1c	Cumberland	Provision of suitably qualified and appropriately trained duty service (duty director, duty AD, duty officer)	33	September 2023				•			High	Quarterly	
EPR1wf	Westmorland and Furness	Provision of suitably qualified and appropriately trained duty service (duty director, duty AD, duty officer)	32	September 2023				•			High	Quarterly	
EPR2c	Cumberland	Five key plans (nuclear, military, pipeline, chemical) reviewed and exercised on schedule	3	September 2023				•			High	Quarterly	
EPR2wf	Westmorland and Furness	Four key plans (nuclear, military, pipeline, chemical) reviewed and exercised on schedule	2	September 2023				•			High	Quarterly	
EPR3c	Cumberland	Number of risks assessed	77	September 2023				•			High	Quarterly	
EPR3wf	Westmorland and Furness	Number of risks assessed	77	September 2023				•			High	Quarterly	
EPR4c	Cumberland	Number of people trained	59	September 2023				•			High	Quarterly	
EPR4wf	Westmorland and Furness	Number of people trained	77	September 2023				•			High	Quarterly	

Emergency Planning and Resilience qualitative performance reporting:

- Emergency Assistance Centre Manager Training delivered to 49 managers across the two authorities for council employees only. The figures for EPR4c and EPR4wf includes those attending emergency planning training from the council and other partners.

- Successful expression of interest for Cumbria Local Resilience Forum to be a Stronger Local Resilience Forum Pilot. The pilot will not commence in April 2024 due to DLUHC delaying the December submission deadline for the delivery plan, awaiting confirmation of revised submission date.
- In conjunction with the Digital Team and the three North East Local Resilience Forums, the team submitted a successful Local Digital Fund to develop Cumbria's VIPER (identification of Vulnerable Properties in an Emergency) into a regional prototype with new features.
- Delivered the Off Site Exercise for MOD Longtown in June involving a wide range of agencies, which validates the Off Site Emergency Plan.

Fostering - hosted by Cumberland



Fostering



Working for Cumberland Council and Westmorland & Furness Council

Host Authority	Area Data Covers	Metric		
Cumberland	Cumberland	Fostering assessments completed within 6 months	Fostering enquiries responded to within 2 workin...	Number of enquiries
Cumbria	Cumbria	Number of kinship carers	Number of mainstream carers	Number of new approvals
	Westmorland and Furness	Number of resignations/deregistrations	Percentage of cared for children in in-house foste...	

RAG Rating	Direction of Travel
(Blank)	(Blank)
No RAG	Improved
	Sustained
	Worsened

24 Metrics

URN	Area Data Covers	Metric	Current value	Current period	Previous value	Previous period	DOT	12 Month Trend	Target	RAG	Good is	Reporting Frequency	Data Source
F1	Cumbria	Number of mainstream carers	212	September 2023	215	June 2023	↓			High	Quarterly	LCS	
F1c	Cumberland	Number of mainstream carers	122	September 2023	124	June 2023	↓			High	Quarterly	LCS	
F1wf	Westmorland and Furness	Number of mainstream carers	90	September 2023	91	June 2023	↓			High	Quarterly	LCS	
F2	Cumbria	Number of enquiries	32	September 2023	25	June 2023	↑			High	Quarterly	LCS	
F2c	Cumberland	Number of enquiries	19	September 2023	14	June 2023	↑			High	Quarterly	LCS	
F2wf	Westmorland and Furness	Number of enquiries	13	September 2023	11	June 2023	↑			High	Quarterly	LCS	
F3	Cumbria	Number of new approvals	2	September 2023	2	June 2023	→			High	Quarterly	LCS	
F3c	Cumberland	Number of new approvals	1	September 2023	2	June 2023	↓			High	Quarterly	LCS	
F3wf	Westmorland and Furness	Number of new approvals	1	September 2023	1	June 2023	↑			High	Quarterly	LCS	
F4	Cumbria	Number of resignations/deregistrations	5	September 2023	6	June 2023	↓			Low	Quarterly	LCS	



Fostering



Working for Cumberland Council and Westmorland & Furness Council

Host Authority	Area Data Covers	Metric		
Cumberland	Cumberland	Fostering assessments completed within 6 months	Fostering enquiries responded to within 2 workin...	Number of enquiries
Cumbria	Cumbria	Number of kinship carers	Number of mainstream carers	Number of new approvals
	Westmorland and Furness	Number of resignations/deregistrations	Percentage of cared for children in in-house foste...	

RAG Rating		Direction of Travel	
(Blank)	No RAG	(Blank)	Improved
		Sustained	Worsened

24 Metrics

URN	Area Data Covers	Metric	Current value	Current period	Previous value	Previous period	DOT	12 Month Trend	Target	RAG	Good is	Reporting Frequency	Data Source
F4c	Cumberland	Number of resignations/deregistrations	3	September 2023	1	June 2023	↑			Low	Quarterly	LCS	
F4wf	Westmorland and Furness	Number of resignations/deregistrations	2	September 2023	5	June 2023	↓			Low	Quarterly	LCS	
F5	Cumbria	Number of kinship carers	56	September 2023	45	March 2023	↑			High	Quarterly	LCS	
F5c	Cumberland	Number of kinship carers	32	September 2023	26	June 2023	↑			High	Quarterly	LCS	
F5wf	Westmorland and Furness	Number of kinship carers	24	September 2023	26	June 2023	↓			High	Quarterly	LCS	
F6	Cumbria	Fostering enquiries responded to within 2 working days	100	September 2023	100	June 2023	→			High	Quarterly	LCS	
F6c	Cumberland	Fostering enquiries responded to within 2 working days	100	September 2023	100	June 2023	→			High	Quarterly	LCS	
F6wf	Westmorland and Furness	Fostering enquiries responded to within 2 working days	100	September 2023	100	June 2023	→			High	Quarterly	LCS	
F7	Cumbria	Fostering assessments completed within 6 months		September 2023		June 2023				High	Quarterly	LCS	
F7c	Cumberland	Fostering assessments completed within 6 months	100	September 2023	100	June 2023	→			High	Quarterly	LCS	
F7wf	Westmorland and Furness	Fostering assessments completed within 6 months		September 2023		June 2023				High	Quarterly	LCS	
F8	Cumbria	Percentage of cared for children in in-house foster placements (inc kinship)	.46	September 2023	.46	June 2023	↓			High	Quarterly	LCS	
F8c	Cumberland	Percentage of cared for children in in-house foster placements (inc kinship)	45.5	September 2023	45.9	June 2023	↓			High	Quarterly	LCS	
F8wf	Westmorland and Furness	Percentage of cared for children in in-house foster placements (inc kinship)	46	September 2023	45.9	June 2023	↑			High	Quarterly	LCS	

NB. For URN: F7wf there have not been any Fostering Assessments completed within the period.

Fostering qualitative performance reporting: Bi-annual report completed in May and November each year can provide additional information if required, consideration needed regarding sensitive information within the report.

Residential and Edge of Care Homes – hosted by Cumberland

?

Residential and edge of care services

First Service

Working for Cumberland Council and Westmorland & Furness Council

Host Authority	Area Data Covers	Metric	
Cumberland	Cumberland	Number of children in external residential homes (ie purchased)	Number of children in in-house residential homes
	Cumbria		
	Westmorland and Furness		

RAG Rating	Direction of Travel
No RAG	Sustained

6 Metrics

URN	Area Data Covers	Metric	Current value	Current period	Previous value	Previous period	DOT	12 Month Trend	Target	RAG	Good is	Reporting Frequency	Data Source
RECS1	Cumbria	Number of children in in-house residential homes	22	September 2023	21	June 2023	→			Neither			
RECS1c	Cumberland	Number of children in in-house residential homes	12	September 2023	11	June 2023	→			Neither	Monthly	LCS	
RECS1wf	Westmorland and Furness	Number of children in in-house residential homes	10	September 2023	10	June 2023	→			Neither	Monthly	LCS	
RECS2	Cumbria	Number of children in external residential homes (ie purchased)	112	September 2023	116	June 2023	→			Neither			
RECS2c	Cumberland	Number of children in external residential homes (ie purchased)	72	September 2023	77	June 2023	→			Neither			
RECS2wf	Westmorland and Furness	Number of children in external residential homes (ie purchased)	40	September 2023	39	June 2023	→			Neither			

Residential and Edge of Care Homes qualitative performance reporting: OFSTED reports for each home can provide additional information if required, consideration needed regarding sensitive information within the report.

Waste Disposal – hosted by Cumberland

?

Waste Disposal

Working for Cumberland Council and Westmorland & Furness Council

Host Authority	Area Data Covers	Metric		
Cumberland	Cumberland	Number of complaints received	Number of compliments received	Number of performance deductions applied
	Cumbria	Rate of recycling and composting	Tonnage of contracted waste received	
	Westmorland and Furness			

RAG Rating	Direction of Travel	
Green	No RAG	Improved
		Worsened
		Sustained

9 Metrics

URN	Area Data Covers	Metric	Current value	Current period	Previous value	Previous period	DOT	12 Month Trend	Target	RAG	Good is	Reporting Frequency	Data Source
WD1	Cumbria	Tonnage of contracted waste received	47411.01	September 2023	48090.16	June 2023	→				Neither	Quarterly	Waste Service MI
WD2c	Cumberland	Rate of recycling and composting	59.3	September 2023	58.14	June 2023	↑				High	Quarterly	Waste Service MI
WD2wf	Westmorland and Furness	Rate of recycling and composting	66.9	September 2023	66.81	June 2023	↑		60	●	High	Quarterly	Waste Service MI
WD3c	Cumberland	Number of complaints received	9	September 2023	9	June 2023	→				Low	Quarterly	Waste Service MI
WD3wf	Westmorland and Furness	Number of complaints received	17	September 2023	15	June 2023	↑				Low	Quarterly	Waste Service MI
WD4c	Cumberland	Number of compliments received	4	September 2023		June 2023	↑				High	Quarterly	Waste Service MI
WD4wf	Westmorland and Furness	Number of compliments received	2	September 2023	2	June 2023	→				High	Quarterly	Waste Service MI
WD5c	Cumberland	Number of performance deductions applied	16.7	September 2023	58.6	June 2023	↓				Low	Quarterly	Waste Service MI
WD5wf	Westmorland and Furness	Number of performance deductions applied	14.6	September 2023	49.9	June 2023	↓				Low	Quarterly	Waste Service MI

Waste Disposal qualitative performance reporting:

Tonnage of Contracted Waste Received – The tonnages of contracted waste received are recorded and reporting by the Waste Disposal Contractor. Although there are no targets as such there is a contractual “Guaranteed Minimum Tonnage” – which is also contained in the Waste Inter-Authority Agreement (Appendix A) as per below :

	Minimum Tonnage	Total
Cumberland	67,500	125,000
Westmorland & Furness	57,500	

Rate of Recycling and Composting - the Waste Disposal Contractor reports a cumulative recycling and composting rate at the Household Waste Recycling Centres against a target of 60%. This measure will be provided on a split basis going forward for Cumberland and Westmorland & Furness as follows:

Cumberland		Westmorland & Furness	
HWRC Recycling Performance		HWRC Recycling Performance	
Site	Month % (Target 60%)	Site	Month % (Target 60%)
Bousteads		Ambleside	
Grassing			
Brampton		Barrow	
Clay Flatts		Flusco	
Frizington		Grange	
Maryport		Kendal	
Millom		Kirkby Stephen	
Wigton		Ulverston	

Number of Compliments and Complaints Received - The number of compliments and complaints over the (monthly) contract period are recorded and reported by the Waste Disposal Contractor. There are no targets within the contract but the number received and the nature is monitored as part of the Monthly Monitoring Report, and are broken down into the following categories:

- Corporate complaints – Cumberland Council to respond to (either for itself or as the host)
- Non-corporate complaint – the Waste Contractor to respond

- Compliment

This will be split on a Cumberland & Westmorland and Furness basis.

Number of Performance Deductions applied – There are no targets within the contract but the Hosted Waste team monitors the performance reporting by the Contractor and challenges the remedial actions taken. Mitigation may be accepted as appropriate.

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Report to the Joint Overview and Scrutiny Committee

Meeting Date – 26 February 2024

Key Decision – No

Public/Private – Public

Portfolio – Cllr Mark Fryer, Leader of the Council, and Cllr Denise Rollo, Executive Member - Sustainable, Resilient and Connected Places, Cumberland Council

Cllr Jonathan Brook, Leader of the Council, and Cllr Giles Archibald, Cabinet Member for Climate and Biodiversity, Westmorland and Furness Council

Directorate – Public Health and Communities, Cumberland Council/Assistant Chief Executive, Westmorland and Furness Council

Lead Officer – Colin Cox, Director of Public Health, Cumberland Council/Alison Hatcher, Assistant Chief Executive, Westmorland and Furness Council

Title – Stronger Local Resilience Forum (LRF) Pilot Programme

Summary:

Executive Summary

- 1.1 Cumbria Local Resilience Forum (Cumbria LRF) has been chosen as a Pilot to explore and test elements of the National Resilience Framework. This is being called the Stronger LRFs Pilot Programme.
- 1.2 The purpose of the pilot is for Department of Levelling Up Housing and Communities (DLUHC) to identify good practice to implement the National Resilience Framework by 2030.
- 1.3 The Joint Emergency Management and Resilience team (JEMR) which hosts the Cumbria LRF Secretariat is hosted by Westmorland and Furness Council under the Inter Authority Agreement (IAA) between Westmorland and Furness and Cumberland Councils.

- 1.4 It is proposed that the LRF Pilot will report to the Joint Executive Committee to provide democratic accountability of the activity, as JEMR is a hosted service under the IAA.
- 1.5 A delivery plan for the LRF pilot must be developed and agreed with DLUHC through a 'co-development' process. Discussions are underway between the LRF and DLUHC on the strands of activity to be undertaken through the LRF pilot programme. The pilots are to test out proposals for having a Chief Resilience Officer, stronger democratic links, and a more active approach to drive the delivery of the UK Government Resilience Framework

2 Recommendations:

- 2.1 It is recommended that the information contained within the report regarding the Stronger LRF Pilot in Cumbria is reviewed by the Joint Overview and Scrutiny Committee.

3 Background

- 3.1 The Cumbria LRF is a multi-agency partnership made up of representatives from local public services. It is not a legal entity and does not have powers to direct its members, but the responders have a collective responsibility through the LRF to plan, prepare and communicate in a multi-agency environment. The LRF Secretariat is a support function serving that partnership and is hosted within the Joint Emergency Management and Resilience (JEMR) Team.
- 3.2 Local Resilience Forums (LRFs) are the arrangements through which the Councils demonstrate their duty to cooperate, by working together with partners as required by the Civil Contingencies Act. In the Act the boundary for LRF is directed as being co-terminus with that of the Police Authority.
- 3.3 Cumbria Local Resilience Forum (Cumbria LRF) is comprised of 40+ member organisations. The Secretariat function for the LRF is hosted by the local authority and majority funded by a pilot grant (2022 – 2025) from HM Government of £173k (2023/2024).
- 3.4 Westmorland & Furness Council hosts the Cumbria LRF Secretariat function as part of the Joint Emergency Management and Resilience (JEMR) Team which is hosted by Westmorland & Furness Council on behalf of both local authorities in Cumbria under the Inter Authority Agreement (IAA).

3.5 Cumbria LRF was selected as one of the Stronger LRF 8 pilot areas. The 8 LRF pilot areas announced in the Summer of 2023 are:

- Cumbria
- London
- Gloucestershire
- Greater Manchester
- Northumbria
- Suffolk
- Thames Valley
- West Mercia

3.6 The pilots are to test out proposals for having a Chief Resilience Officer, stronger democratic links, and a more active approach to drive the delivery of the UK Government Resilience Framework. The National Resilience Framework, published in 2022, is designed to assist our collective resilience.

3.7 This Framework is based on three principles:

- *A developed and shared understanding of the civil contingencies risks we face is fundamental;*
- *Prevention rather than cure wherever possible: a greater emphasis on preparation and prevention; and*
- *Resilience is a ‘whole of society’ endeavour, so we must be more transparent and empower everyone to make a contribution.*

3.8 It is these principles which form the basis of the LRF Pilots, designed to develop good practice to enable implementation by 2030. Where there is Mayoral Combined Authority (MCA) the Chief Resilience Officer is likely to report directly to the Mayor. In areas without a MCA, alternative governance models will be required and DLUHC is looking to use the pilots to test a policy approach for strengthening LRFs across the country by 2030. The expectation from DLUHC is that a Chief Resilience Officer will be in post for each pilot area from 1st April 2024.

3.9 It has been stated that;

*“DLUHC expect the eight pilots to test and develop evidence on the key issues of: strengthened **leadership** across all aspects of how a **place** is **driven** to become more **resilient**; strengthened **accountability** of those delivering **resilient places** to the **communities** that live and work there; and **strengthened integration** of resilience into wider **place-making policy**.”*

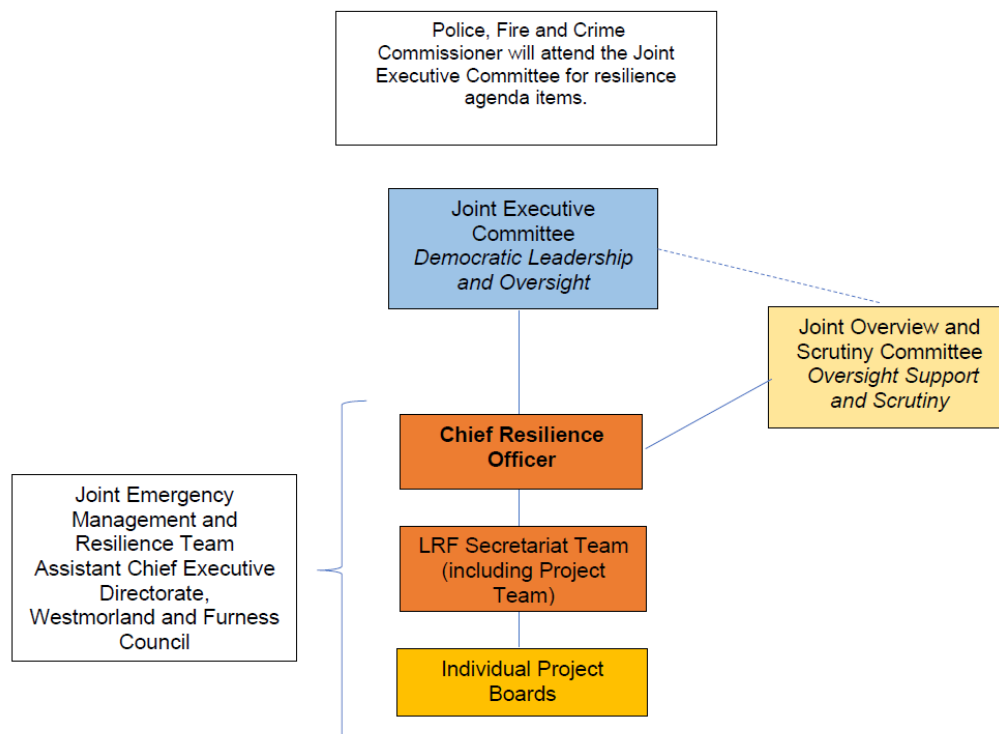
4 Proposals

4.1 The LRF proposal will be set out in the LRF Delivery Plan. It is understood that c. £800k is to be provided over a two-year period to fund the activity in the Delivery Plan. To inform Government on how the money will be spent the Pilot Delivery Plan has to be agreed with DLUHC. Discussions on the content of the delivery plan are currently underway between DLUHC and the Cumbria.

4.2 The emerging elements for inclusion in the Pilot Delivery Plan include:

- A Chief Resilience Officer
- Increased LRF Secretariat function capacity
- Development of a resilient communities' programme, including training and exercising
- Research into the better use of data, technology, and systems development.
- Dedicated engagement and communications resource with a focus on risk and consequence engagement with a wide range of audiences.

4.3 In addition to the above, a key part of the Pilot is the strengthening of political accountability. The diagram below illustrates the role of the LRF and the JEC in relation to the governance of the Pilot.



4.4 To ensure engagement of the Police and Fire Services, it proposed that the Police and Fire Commissioner attends the JEC. Scrutiny of the Pilot programme is undertaken Joint Overview and Scrutiny (JOS) Committee to monitor and evaluate the pilot.

- 4.5 From a governance perspective, DLUHC is particularly keen to test how resilience planning can be embedded and integrated with the development of strategies and plans across Cumbria to increase the focus on prevention activity in line with the National Resilience Framework principles. In particular with the integration of LEPs into local authorities this creates the opportunity to test how resilience planning can sit alongside strategic economic planning.

5 Alternative options considered

- 5.1 Scrutiny has the option to discuss the contents of this report and to provide comments to inform the development of the Pilot Delivery Plan.

6 Conclusion and reasons for recommendations .

- 6.1 This pilot gives Cumbria the opportunity to try out an innovative approach to resilience and embedded the UK National Resilience Framework into a wide range of working areas, focusing on the community resilience and place-based resilience approach. Additional dedicated resource will work across both the Cumberland and Westmorland and Furness Council areas to ensure resilience fits with other priorities to deliver both council plans.

7 Implications

7.1

- 7.2 Resources from the Local Resilience Forum Secretariat function are being used to develop the Pilot Project Delivery plan. The Delivery Plan will include appropriate resourcing and recruitment considerations.

8 Contribution to the Cumberland Plan Priorities

- 8.1 The Cumberland Council Plan vision is for Cumberland Council takes a fresh approach to the delivery of inclusive services that are shaped by our residents and communities. By enabling positive outcomes for health and wellbeing, prosperity, and the environment we will fulfil the potential of our people and our area. Improved health and wellbeing of those who live and visit Cumbria is a strong theme throughout both Council plans and aligns with developing the multi-agency preparedness and response to emergencies.

9 Contribution to the Westmorland and Furness Plan Priorities

- 9.1 The Council Plan vision is for Westmorland and Furness to be “a great place to live, work and thrive” with one of its priorities being “confident, empowered, resilient communities”. The enhancement and development of resilient communities is an aligned objective with the National Resilience Framework. Improved health and wellbeing of those who live and

visit Cumbria is a strong theme throughout both Council plans and aligns with developing the multi-agency preparedness and response to emergencies.

10 Relevant Risks

10.1 Not applicable, the report is for information.

11 Consultation / Engagement

11.1 Not applicable, the report is for information.

12 Legal –

12.1 The Cumbria LRF is a multi-agency partnership made up of representatives from local public services. It is not a legal entity and does not have powers to direct its members, but the responders have a collective responsibility through the LRF to plan, prepare and communicate in a multi-agency environment. The LRF Secretariat is a support function serving that partnership and is hosted within the Joint Emergency Management and Resilience (JEMR) Team. The JEMR Team provides emergency planning and some response capability for both Cumberland and Westmorland & Furness Councils.

12.2 The team is hosted by Westmorland & Furness Council under the terms of the Inter Authority Agreement (IAA) entered into between the two Councils on 31 March 2023. The two authorities agreed to arrange for the discharge of the functions relating to the Hosted Services under the IAA by the Joint Executive Committee under section 101 Local Government Act 1972. Under the Terms of Reference of the Joint Executive Committee set out in the IAA the committee's role is to oversee the management of those functions and services which are provided on a Cumbria-wide basis on behalf of the Cumberland and Westmorland and Furness Councils to ensure effective delivery of such services and to provide strategic direction including overseeing the implementation of the Service Strategies and approving business cases for proposed changes and overseeing the progress of subsequent work. Changes to the terms of reference of the Joint Executive Committee which change the functions which have been delegated to the Joint Executive Committee can be made by the Cabinet of Westmorland and Furness Council and the Executive of Cumberland Council.

12.3 The Joint Overview and Scrutiny Committee was established under the IAA to conduct the Authorities functions under section 9F and 9FA of the Local Government Act 2000 in respect of providing overview and scrutiny in respect of those functions exercised by the Joint Executive Committee. The Joint Executive Committee has the power under 2.5 of its Terms of Reference to delegate its functions to officers of either Authority under s101 Local Government Act 1972. Under clause 9.1 of the IAA the Host Authority in relation to

any service will not advertise new posts within a Hosted Service without prior agreement of the relevant Lead Officer from the Recipient Authority.

13 Finance

13.1 A grant will be awarded from DLUHC to Westmorland and Furness Council against forecast delivery costs of up to ~£400k plus potential support costs per year. This is expected to be in the region of £950k over the 2-year pilot project. It is not yet clear when the 2 years of funding will start. Confirmation of the grant and associated guidance is expected soon.

14 Information Governance

14.1 Not applicable, the report is for information.

15 Impact Assessments

15.1 Not applicable, the report is for information.

Contact details:

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alison.hatcher@westmorlandandfurness.gov.uk

Appendices attached to report:

None

Background papers: Note: in compliance with section 100d of the Local Government Act 1972 the report has been prepared in part from the following papers:

The context of this report is that the Civil Contingencies Act completed its 5 yearly review in 2022, and after consultation and engagement it was concluded that the Act was still broadly fit for purpose and remained largely unchanged with only a couple of organisations being updated to be made Cat 2 status i.e. the Met Office.

https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/1076209/cca-pir-2022.pdf

In addition, HM Government published the Integrated Review of Security, Defence, Development and Foreign Policy (<https://www.gov.uk/government/publications/global-britain-in-a-competitive-age-the-integrated-review-of-security-defence-development-and-foreign-policy>) in 2021 and followed this by publishing the supporting National Resilience Framework in 2022 designed to assist our collective resilience.

<https://www.gov.uk/government/publications/the-uk-government-resilience-framework>

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Report to the Joint Overview and Scrutiny Committee

Meeting Date – 26 February 2024

Key Decision – No

Public/Private – Public

Portfolio – Councillor Denise Rollo – Cumberland
Councillor Dyan Jones - Westmorland and Furness

Directorate – Place, Sustainable Growth & Transport – Cumberland Council
Thriving Places - Westmorland & Furness Council

Lead Officer - Chloe Tringham - Assistant Director for Climate and Waste - Cumberland
Council

(Waste Inter-Authority Agreement Project Manager acting as the
Authority Representative as defined in the Waste Project Agreement)

**Title – Overview of Hosted Waste Disposal Contract and Service and
Waste Inter Authority Agreement**

1. Executive Summary

This report provides an overview and update on the hosted Waste Disposal Contract/ Service in the Place, Sustainable Growth & Transport directorate (Climate and Waste) within Cumberland Council. This report specifically highlighting the following areas.

- Governance
- Information Sharing
- Waste Inter-Authority Review
- WIAA Budget
- National Policy

2. Recommendations:

It is recommended that the information contained within the report regarding the hosted Waste Disposal Contract and Service is reviewed and the update is noted by the Joint Overview and Scrutiny Committee.

3. Background

Following Local Government Reorganisation (LGR), Cumberland and Westmorland & Furness Councils have entered into a Waste Inter Authority Agreement for the Cumbria wide management of waste disposal services and ancillary contracts. The agreement was entered into by both parties on 31st March 2023.

It was not financially or contractually viable to separate the Waste Disposal contract between the two new Councils post vesting day so it was mutually decided, and formally reported on 5 October 2022, that Cumberland Council would host the Contract on behalf of itself and Westmorland and Furness Council. There is an Inter-Authority Agreement (IAA) in place to manage this hosted arrangement.

Cumberland Council carries out duties on behalf of itself and Westmorland and Furness Council for the following :

- the Project Agreement (Contract);
- the Kendal Fell Contract;
- the Closed Landfill Site Contract; and
- the administration of the Waste Inter-Authority Agreement

4. Governance – currently the governance arrangements in place for the overseeing of the WIAA are as per below, and will remain in place until the expiry of the Waste Disposal Contract, or such other date as agreed in accordance with the Waste Inter Authority Agreement:

1. Joint Executive Committee (JEC);
2. Joint Officers Group (JOB);
3. Joint Waste Project Board (Waste specific);
4. Joint Waste Officers Group (Waste specific) – currently meeting pending review*

Membership of the Waste Specific groups (Groups 1. and 2. above) are outlined in the Terms of Reference and in summary consists of Directors and Assistant Directors with responsibility for waste, and other key representation such as the Statutory Officers.

The role of the groups includes :

- Consider the performance of joint contracts:
 - PPP Contract for the treatment and disposal of residual waste and the management of Household Waste Recycling Centres
 - Kendal Fell transfer station contract
 - Enitial contract for the monitoring and maintenance of closed landfill sites
- Consider the effectiveness of management of the contracts outlined above
- Agree the operational management budget for the waste project
- Recommend items for consideration by the Joint Executive Committee
- Consider the management of the Waste Project budget

- Review and manage the risks associated with the projects

There is a provision in the WIAA for “**Matters referred to Joint Executive Committee**” as per Governance (1.) above –

(12.3) On a monthly basis the Project Manager (on behalf of the Host Council) shall be responsible for taking decisions as to the appropriateness of any adjustments or deductions to be applied pursuant to either the Project Agreement, Closed Landfill Site Contract or Kendal Fell Contract, save that where any adjustment or deduction is in excess of five hundred thousand pounds (£500,000), or is in aggregate over five hundred thousand pounds (£500,000) when combined with all other adjustments or deductions in respect of the same matter or a reasonably related matter under the same Contract Document and such other adjustments or deductions are or could be the subject of a dispute under such Contract Document, the Project Manager must seek the prior approval of the Joint Executive Committee in relation to such adjustment or deduction.

A recent example of a matter referred to the JEC, properly following the requirements and route identified in the WIAA, was Hard Plastics.

The decision to finalise and enter into the Hard Plastics settlement agreement was properly taken by the Joint Executive Committee in accordance with the WIAA. The item also was presented and discussed at the Joint Officers Group meeting in advance of JEC.

5. Host Service

The host service consists of the former Cumbria County Council Waste Disposal team continuing to undertake the role on a Cumbria contract footprint on behalf of Cumberland Council and Westmorland & Furness Council. In summary the team covers waste data – ensuring that the contractor is paid the right amount on time (following comprehensive validation checks); waste contract compliance – quality control checking; waste reduction – working on reducing the amount of waste coming through the contract in the first place (this includes a focus on carbon emission reduction too).

The Waste Service (host service) has just recently welcomed a new Waste Operational Manager, Barry Elder, heading up the team following the previous postholder leaving.

6. Information sharing

The Joint Waste Officers Group meetings mainly include data, performance and financial information sharing. The meetings also include an element on the National Policy update (see No. 10).

A suite of quantitative and qualitative performance measures are also reported to the Joint Executive Committee via the Joint Officer Group on the WIAA. Hosted Service performance – with the purpose of the report providing members of the Joint Executive Committee with an update on the performance of long-term hosted services. The following is an example of some of the performance measures:

Dashboard Area/ Tab	Q1	Q2
Tonnage of contracted waste received	48,090	47,411
Cumberland		
Rate of recycling and composting	58.14%	59.30%

Number of complaints received	9	9
Number of compliments received	0	4
Number of performance deductions applied	58.6	16.7
Westmorland & Furness		
Rate of recycling and composting	66.81%	66.90%
Number of complaints received	15	17
Number of compliments received	2	2
Number of performance deductions applied	49.9	14.6

7. Internal Audit

An internal audit (F2301 – Waste Inter-Authority Agreement) was undertaken with the final report available from 25 September 2023. From the areas examined and tested as part of the audit review, the controls operating within WIAA provide **reasonable assurance**.

There were 10 recommendations with 6 of these recommendations continuing and being actioned. As an example a couple of the recommendations are as follows :

Recommendation 4: Performance and Improvement Plan to be presented at the first JWPB meeting. Completed but ongoing.

Recommendation 1: Terms of Reference for the Joint Waste Project Board (JWPB) and the Joint Waste Officer Group (JWOG) to be developed. These have been produced with the JWOG terms of reference agreed at the meeting in October 2023. The JWPB are subject to the review of governance which is taking place at the end of February 2024.

8. WIAA Review

At the time of writing this report the WIAA is going through a scheduled review. Under Clause 37.2 of the WIAA requires : The Joint Executive Committee shall review this Agreement six (6) months following the Commencement Date and then annually thereafter, to monitor and reflect on the operation of the Project and the performance of the obligations set out in this Agreement.

The scope of the review mainly focusses on Governance arrangements including the current terms of reference, assets and property, financial procedures including cost sharing components and associated audit actions (see above Internal Audit). A small group of officers from both Cumberland and Westmorland & Furness are working jointly on the review.

9. Annual Budget

With regards to the annual budget the WIAA requires :

based on the preceding Contract Year's actual spend and the Performance and Improvement Plan prepared pursuant to Clause 11 (Performance and Improvement Plan), it reasonably requires to achieve its objectives in relation to the Project and to secure the funding requirement for the Host Council to fulfil its obligations under this Agreement on behalf of the Councils. The agreed overall amounts applicable to the Annual Budgets as apply at the Commencement Date until the first operation of this Clause following the Commencement Date, are contained at Schedule 2 (Initial Budgets).

The draft Annual Budgets shall contain draft estimates of revenue income and expenditure of the Project for or in relation to the discharge of the agreed functions. The estimates shall include details as to how the expenditure is to be financed including a breakdown of the contributions required from each Council.

The draft Annual Budgets are currently being worked through across the Councils and will be subject to approval by the Joint Executive Committee.

10. National Policy changes

The number of changes coming through impacting on the Waste industry are significant and require close monitoring - such as Simpler Recycling, separate food waste collections, Emissions Trading Scheme to name a few. The changes are a key part of the information sharing arrangements at the Joint Waste Officers Group. The host service provides a "horizon scanning" function which allows these proposed changes to be fully considered by both Councils.

Proposals – Not applicable, the report is for information.

Alternative options considered – Not applicable, the report is for information.

Conclusion and reasons for recommendations – Not applicable, the report is for information.

Implications – Not applicable, the report is for information.

Contribution to the Cumberland Plan Priorities – in delivering excellent public services we want to be a high performing council. Our services have a direct and indirect impact on our residents' health and wellbeing. We want our residents to benefit from excellent, efficient, and enterprising public services. We will deliver what they need, when they need it with a focus on collaboration, dialogue and delivering strategic impact at a local level.

Contribution to the Westmorland and Furness Council Plan Priorities – the service contributes to the Westmorland and Furness Council Plan Westmorland and Furness - for the economy and culture -Sustainable, inclusive economic growth is essential to deliver high quality jobs.

Relevant Risks – Not applicable, the report is for information.

Consultation / Engagement – Not applicable

Legal – Administration of the hosting arrangements will be subject to the conditions set out in the Waste Inter-Authority Agreement. Oversight and governance will be provided by the Joint Officer Board (JOB) and Joint Executive Committee at their scheduled meetings as appropriate.

Finance – Recharging between the two authorities will be subject to the conditions set out in the Waste Inter-Authority Agreement, including the Annual Budget review.

Information Governance – Not applicable, the report is for information.

Impact Assessments – Not applicable, the report is for information.

Contact details:

Contact Officer: Chloe Tringham

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Background papers: Note: in compliance with section 100d of the Local Government Act 1972 the report has been prepared in part from the following papers:

- Waste Inter-Authority Agreement – 31 March 2023
- Internal Audit Report



Report to the Joint Overview and Scrutiny Committee

Meeting Date – 26th February 2024
Key Decision – No
Public/Private – Public

Portfolio – Cllr Mark Fryer, Cumberland Council
Cllr Jonathan Brook, Westmorland and Furness Council

Directorate – Place, Sustainable Growth and Transport – Cumberland Council
Thriving Places – Westmorland and Furness Council

Lead Officer – Darren Crossley – Director of Place, Sustainable Growth and Transport (Cumberland Council)
Angela Jones – Director of Thriving Places (Westmorland and Furness Council)

Title – Integration of LEP activities to Local Authorities

Summary:

This report provides an update following the Government announcement that it would cease to provide core funding the LEPs from 1 April 2024 and instead would support local authorities to take on the functions currently delivered by LEPs. This reports provides an overview of the plans and activity to integrate Cumbria LEP functions into the Local Authorities, following the latest Government guidance.

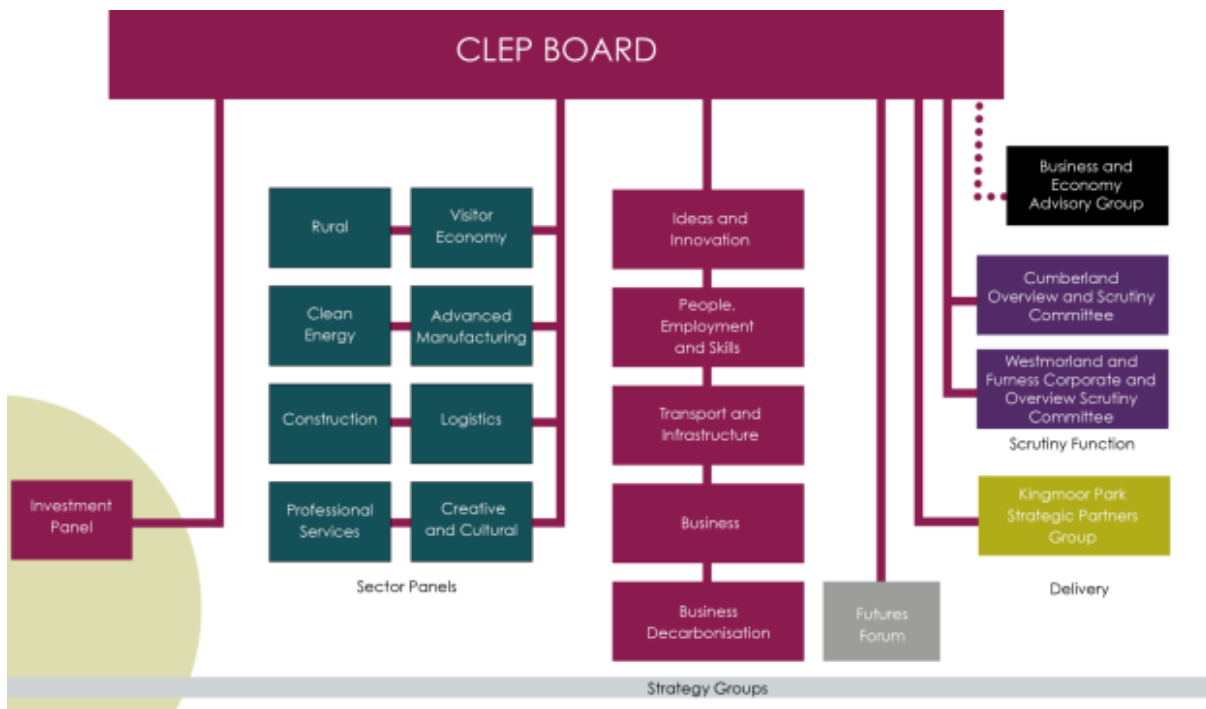
Recommendations:

It is recommended that Joint Overview and Scrutiny Committee note and comment on the activity to integrate LEP activities to Local Authorities for Cumberland and Westmorland and Furness Councils.

1. Background

Role of Local Enterprise Partnerships (LEPs)

- 1.1 Local Enterprise Partnerships (LEP) are the bodies across England tasked with leading economic growth activities in their local areas. The Cumbria Local Enterprise Partnership (CLEP) operates across the whole of the Cumbria footprint and has been in existence since 2014.
- 1.2 Following a ministerial review of LEPs, the Government published the ‘Strengthened Local Enterprise Partnerships’ Report in July 2018. The report set out a number of recommendations around governance and operation including the need for LEPs to have a legal personality and a single accountable body.
- 1.3 CLEP became a company limited by guarantee in December 2018, and shortly after all of Cumbria’s then Local Authorities holding corporate membership and, as members, were entitled to appoint directors. Following LGR, both Westmorland and Furness and Cumberland have acceded to the Board with the Leader of Westmorland and Furness now holding the position of Vice Chair, reflecting the financial assurance responsibilities that the authority has assumed in its accountable body role.
- 1.4 CLEP has an extensive governance structure reflecting its responsibilities for strategy, investment, delivery, co-ordination and advocacy. The current structure is set out in the following diagram;



- 1.5 CLEP’s role as the strategic lead for economic growth has resulted in the production of a number of key policies and plans, all of which have been consulted on widely and

ensured input and ownership from business, the third sector and public sector partners. Most recently, it developed *Restart, Reboot, Rethink* in response to the need to deliver post-pandemic economic recovery.

- 1.6 CLEP also leads on specialist economic intelligence and modelling work, partnering with Local Authority officers. Activity includes the purchase, management and analysis of key datasets including Experian forecasts and the DfE Data Cube.
- 1.7 LEPs were originally established to facilitate the management of major capital funding programmes in local areas. In total, almost £80m of Government funding was delivered in Cumbria by CLEP between 2015 and 2021, mainly across the following key programmes;
 - Cumbria Infrastructure Fund (Growing Places Fund) – A £6.16m evergreen, revolving investment fund which was originally focussed on creating jobs and homes. All funding has been invested and is in the repayment stage which leaves it as ‘recycled, clean’ money for more flexible future investments.
 - Growth Deal (Local Growth Fund) – £60.3m capital programme which is financially complete and in the benefits realisation stage with regular reporting to Government until March 2025. Growth Deal supported a range of projects including Barrow Waterfront, investment in flood defence works at strategic employment sites and creation of new skills facilities.
 - Getting Building Fund – A £10.5m capital programme which is financially complete and in the benefits realisation stage with regular reporting to Government until March 2025. The programme supported two projects – A595 improvements at Bothel and Marina Village.
 - Northern Cultural Regeneration Fund – £15m legacy fund launched by the Department for Culture, Media and Sport (DCMS) in August 2017. Its primary funding goals are to encourage sustainable cultural and creative regeneration in the North of England and to benefit areas in the North of England that have historically had low levels of cultural and creative investment
- 1.8 There are other key programmes such as Growing our Potential and Northern Cultural Regeneration Fund, funded by the Cumbria Infrastructure Fund (CIF). CIF was established to promote the delivery of key infrastructure needed to unlock developments that help to generate jobs and homes. The CIF totalling £6.1m came to an end on 31st March 2023.
- 1.9 Cumbria County Council acted as Accountable Body for CLEP from its inception. This wide-ranging role incorporated significant support to CLEP in terms of assessment, assurance, programme financial management, contracting, monitoring and reporting. After LGR, Westmorland and Furness Council has taken over this role. Whilst the majority of the capital programmes are financially complete, the Council continues to provide support ongoing monitoring, reporting and compliance support.
- 1.10 More recently, Government has elected to channel larger economic growth programmes such as UK Shared Prosperity Fund (UKSPF) through Local
- 1.11 Authorities and LEPs have transitioned to deliver revenue-based, specialist skills and business programmes. Westmorland and Furness Council, through its Assurance and

Economic Programmes Team, currently acts as Accountable Body for a number of these and provides ongoing support for their compliant management. These current programmes are as follows;

- **Growth Hub** – Delivery of the Government’s ‘free at the point of access’ business support service. CLEP received £0.231m for 2023/24 to deliver a range of low, medium and high intensity assists through a combination of in-house and sub-contracted provision.
- **Careers Hub** – Supported by DfE and local match funding, the Hubs bring together a range of education, local authority and business partners to deliver high quality careers advice to help young people prepare for the next step on their learning or employment journey. The budget for 2023/24 is circa £0.2m.
- **Skills Bootcamps** – Provision of flexible courses of up to 16 weeks to help people aged 19+ achieve sector-specific skills between levels 3-5 and fast-track to an interview with a local employer. Valued at circa £1m p.a. CLEP is currently delivering Wave 4 with discussions in place regarding Wave 5 for 2024/25.
- **Department for Business and Trade Key Account Management** –£50,000 p.a. to engage with and maintain effective relationships with key foreign-owned businesses.
- **Innovating for Success** - £1m programme utilising recycled funding from the Cumbria Infrastructure Fund focusing on innovation and decarbonisation in businesses. Programme has supported 32 businesses and is in the benefits realisation phase.
- **Barrow Town Deal Business Support** - £0.5m contract via Barrow Town Deal to deliver a range of business support services aimed at adding value to Growth Hub provision and supporting growth in key local sectors.

2. Future of LEPs – Transfer of Functions to Local Authorities

- 2.1 CLEP has been in existence since 2014, however on 17 March 2023 Government launched a consultation into the ‘Future of LEPs’ noting ‘it was minded’ to cease core funding to LEPs and to integrate LEP functions into local democratic institutions in line with government’s commitment to extend devolution across England.
- 2.2 On 4 August 2023, the Government announced that it would cease to provide core funding the LEPs from 1 April 2024 and it would support local authorities to take on the functions currently delivered by LEPs. Government support local authorities to deliver the core functions currently delivered by LEPs – namely, business representation, local economic planning, and the delivery of government programmes where directed.
- 2.3 Government expects these functions to be exercised by upper tier local authorities (working in collaboration with other upper tier local authorities as appropriate), where they are not already delivered by a combined authority, or in areas where a devolution deal is not yet agreed.

- 2.4 To support the policy change, Government published technical guidance in August 2023 and on 19th December 2023 published the final piece of guidance on the core functions of business representation and local economic planning. Both guidance documents should be used by local authorities in developing their integration and delivery plans for 2024/25 and beyond.
- 2.5 A letter from Minister Young and Minister Hollinrake dated 19th December is attached as Appendix A, the letter contains the links to the guidance available and confirms Government will provide up to £240,000 to a single local authority who will act as the Accountable Body, and deliver the functions previously delivered by LEPs – namely business representation, local economic planning, and the delivery of Government programmes where directed – subject to final business case and integration plan approvals.
- 2.6 This core funding is separate to any programme funding that may be provided to support the delivery of, for example, Growth Hubs or Careers Hubs. Funding for the delivery of Government programmes as directed will be communicated to authorities by the responsible Government department or body as per usual processes
- 2.7 The guidance sets out the following key messages;
- That government’s sponsorship and core funding of LEPs will cease in April 2024.
 - LEPs may continue to operate as private enterprises but government will no longer fund LEP’s and will instead support local authorities to take on LEPs core functions, namely business representation, strategic economic planning and responsibility for the delivery of government funded programmes where directed.
 - The creation of an Economic Growth Board for a functional economic area (FEA) geography (minimum 500,000 population) made up of local business leaders and representative bodies to create an economic strategy for the area.
 - Government expects areas to publish their (existing, new, or updated) strategy within six months of receiving funding. The strategy should be published on the combined authority website or where multiple upper tier local authorities are working together, the authority appointed to be the accountable body should publish the strategy on their website.
 - Delivery of Growth Hub Activity - Local Authorities are expected to work together to ensure seamless Growth Hub provision across the area, which will continue to support businesses and to provide a convening point for broader business support provision. The delivery area must be broadly related to the business and economic area footprint.
 - Delivery of Careers Hubs – Local Authorities to work together to ensure Careers Hubs delivery continues so far as possible over existing geographies.
 - Management of Legacy Capital Programmes – the Accountable Body to be responsible for ongoing monitoring requirements.

- Existing Enterprise Zones - The future functioning, management, and governance of these should be agreed locally, in line with any pre-existing arrangements and to ensure a smooth transition of operation and funding

2.8 At the Joint Executive Committee (JEC) on 13th November 2023 the JEC noted that further work would be required to develop the transitional arrangements between Cumbria Local Enterprise Partnership and the Joint Executive Committee before 31 March 2024 and to plan for further integration beyond 1 April 2024.

2.8 On 13th November 2023, the Joint Executive Committee (JEC) agreed the following recommendations:

- That the Joint Executive Committee is the best governance structure to take on responsibility for the transition of LEP functions to the two Authorities to continue on a Cumbria geographical footprint and the delivery of those functions across Cumbria and recommends to the Cumberland Executive and the Westmorland and Furness Cabinet that they agree a change to the terms of reference of the Joint Executive Committee to reflect this
- To the continuation of the Accountable Body and Assurance Team operating as a hosted service under the Inter Authority Agreement to support the management of LEP legacy funding and management of future funding from 1st April 2024 and to amend the Service Schedule in the Inter Authority Agreement relating to the Accountable Body and Assurance Team to reflect this.
- Delegating authority to the Chief Executives of both Authorities in consultation with the Leaders of the Councils for approval of the integration plan for submission by 30th November 2023
- Note that further work will be required to develop the transitional arrangements between CLEP and the Joint Executive Committee before 31 March 2024 and to plan for further integration beyond 1 April 2024.

3. Programme to integrate LEP activities into Local Authorities for Cumberland and Westmorland and Furness Councils

3.1. Since the Joint Executive Committee decision and on receipt of more detailed Government guidance, senior officers from Cumberland and Westmorland and Furness Councils are working closely with the CLEP to develop a transition programme to integrate LEP activities into Cumberland and Westmorland and Furness Councils by 1st April 2024.

3.2. This has included an overarching LEP Integration Programme Board and setting up the following core workstreams:

- a) **Assurance and Contracts** led by Assistant Chief Executive, Westmorland and Furness Council

- b) **Finance** led by Section 151 Officers in Cumberland and Westmorland and Furness Councils
- c) **Staffing and TUPE** led by Assistant Director HR and OD, Cumberland Council and working with Cumbria LEP as transferor.
- d) **Joint Executive Committee and Economic Growth Board** led by Director of Place, Sustainable Growth and Transport, Cumberland Council and Director of Thriving Places, Westmorland and Furness Council, working with the CLEP Board and a small CLEP working group.
- e) **Communications and Website** led by Communications and Digital teams

3.3. Each Workstream is currently identifying and delivering on critical activities for 1st April 2024, whilst also acknowledging the actions that will fall post-1st April 2024 to deliver on the Implementation Plan.

3.4. Key activities to implement ahead of 1st April 2024 include:

- Staff engagement and transfer of Cumbria LEP staff in accordance with the TUPE Regulations, with CLEP as the transferor and Cumberland Council as the transferee.
- Understanding the current CLEP budgetary position, resolving the Cumbria LEP Company Status and an approach towards assets and liabilities between the two authorities
- Established governance to ensure that the Joint Executive Committee can provide the basis for joint working and collaboration on strategic economic growth across the Cumbria geography
- Development of a SLA between Cumberland and Westmorland and Furness Councils for the Accountable Body and Economic Programmes Team, currently hosted within Westmorland and Furness Council, to take into account the changes in Accountable Body and Assurance arrangements as a result of the LEP sitting within a Local Authority on a pan-Cumbria basis
- Establish a website and ensure robust communications across both authorities and wider public

Governance

3.5 The Chief Executives of both Authorities in consultation with the Leaders of the Councils submitted the integration plan into central government, noting that further work will be required to develop the transitional arrangements between CLEP and JEC before 31st March 2024. A report will be considered at JEC on 28th March 2024.

3.6 The governance arrangements will lead to the CLEP Board transitioning to a Cumbria Economic Growth Board with transfer of LEP functions to Cumberland Council. Westmorland and Furness will retain responsibilities for an Assurance function.

- 3.7 Until there is a full transition of functions, the current CLEP Board members and associated sub-groups have been asked if they will continue to be the primary consultative and advisory body on strategic economic growth for the functional economic area of Cumbria, providing insight and advice in shaping the future Cumbria Economic Growth Board and any sub-boards/advisory groups. There is ongoing dialogue with the CLEP Board on these arrangements.
- 3.8 The interim governance structure will also include the Joint Officer Board. The proposal is for the Joint Executive Board to set the strategic direction and oversight of the Cumbria-wide core economic functions formerly delivered by Cumbria LEP, namely business representation, strategic economic planning, and responsibility for the delivery of government programmes where directed.
- 3.9 To ensure seamless delivery of LEP programmes and functions, the delivery arm will take place through the Cumbria Economic Growth Team as the Accountable Body within Cumberland Council operating on a pan-Cumbria basis (currently the Cumbria LEP team). Westmorland and Furness Council will continue to provide monitoring and assurance pertaining to existing CLEP programmes and funds for which LEPs are responsible. This assurance will be provided the Section 151 Officer in Cumberland Council, as the proposed Accountable Body.
- 3.10 The Joint Executive committee will provide a strong voice for the Economic Functional Area (EFA) of Cumbria on strategically important economic issues and influence, and align government, public and private investment. If any devolution arrangements are progressed, the Joint Executive Committee will evolve more formally into a Level 1 Joint Committee, where Local authorities work together across a Functional Economic Area, which then enables the constituent councils to proceed through the steps necessary to meet the governance requirements for a Level 2 or Level 3 devolution deal.
- 3.11 It is proposed that the Joint Executive Committee will have oversight and monitor the budget for the Cumbria wide economic growth functions, the management of which is the responsibility of the Accountable Body. The committee will also have oversight, monitoring and review of the Cumbria wide economic programmes, grants, assets, functions and programmes transferred from the LEP and oversight of any new funding, programmes or grants as directed by Government or agreed by the Joint Executive Committee.
- 3.12 On the 28th March 2024, the Joint Executive Committee will be asked to:
- To agree that the Joint Executive Committee will have oversight of the transfer and future delivery of the LEP functions, assets and responsibilities (in accordance with recent Government decisions).

- To agree that Cumberland Council is the Delivery Authority and Accountable Body to discharge the functions and responsibilities and ensure a seamless transition.
 - To amend the Terms of Reference to provide the basis for joint working and collaboration on strategic economic growth across the Cumbria geography.
- 3.13 Following this approach, the Joint Overview and Scrutiny Committee would also provide overview and scrutiny in respect of those functions exercised by the Joint Executive Committee.

Future / Look Ahead

- 3.14 It is proposed that to comply with the Government guidance a Cumbria Economic Growth Board (CEGB) will be formed, as a partnership of key stakeholders from the public, private and third sector with a scope and remit across all areas of inclusive growth in Cumbria. The primary role of the CEGB will be to provide a business sector perspective and strategic insight and advice on the Cumbria wide economic programmes and initiatives, shaping business, trade and investment support around local business and economic needs.
- 3.15 This Board will fulfil the principles set out in the Government's guidance ensuring that there is a business voice within a democratically accountable governance structure, and will support the integration of LEP functions into Local Authority. A series of thematic sub boards and advisory groups will sit underneath the Economic Growth Board. The sub boards and advisory groups will provide a powerful business voice, for the wider business community and representatives of key economic growth sectors. The sub boards and advisory groups will include organisations, businesses, and individuals with the ability to identify and respond to local economic challenges and opportunities.
- 3.16 Through an open and transparent appointment process, applying Nolan principles, the Joint Executive Committee will be expected to appoint the Members, Chair and Vice Chair of the Cumbria Economic Growth Board and agree the Terms of Reference.
- 3.17 Government expects areas to publish their economic strategy within six months of receiving funding to support the development of Economic Functional Areas (EFAs). The strategy should be published on the authority's website which will act as the Accountable Body. The Economic Growth Board and affiliated sub-groups is expected to lead and shape the development and delivery of relevant pan Cumbria economic strategies and interventions, including the Cumbria Economic Growth Strategy. The strategy will be approved by the Joint Executive Committee.
- 3.18 Each authority will continue to lead and deliver economic development within their own geographies. Examples include economic development and inclusive growth activities,

economic growth strategies, programmes, projects, town deals, UK Shared Prosperity Fund (UKSPF), Strategic Infrastructure and Local Plan.

4. Proposals

4.1. Not applicable, the report is to note and comment on transition activity.

5. Alternative options considered

5.1. Not applicable, the report is to note and comment on transition activity.

6. Conclusion and reasons for recommendations

6.1. Not applicable, the report is to note and comment on transition activity.

Implications: Not applicable.

Contribution to the Cumberland Plan Priorities - Cumberland Council's vision is that it takes a fresh approach to the delivery of inclusive services that are shared by residents and communities. By enabling positive outcomes for health and wellbeing, prosperity and the environment, it is possible to fulfil the potential of people and area.

The Council believes passionately in the delivery of excellent public services, and in order to this it is essential to be clear about the values and behaviours needed to drive change and achieve high standards.

Contribution to the Westmorland and Furness Plan Priorities -

Westmorland and Furness vision is to be 'a great place to live, work and thrive' and will work collaboratively with partners, communities, and residents to deliver the best outcomes for all. Our priority is for sustainable, inclusive, economic growth, with:

- opportunities for jobs, learning, skills and enterprise
- a sustainable, inclusive, diverse, economy
- a growing work force and high quality jobs
- support to encourage business creation
- improved infrastructure and connectivity

This is essential in our drive to support the delivery of services which underpin our quality of life, as well as helping us retain our young people and attract investment and skilled people

Relevant Risks – Risks are currently being identified and monitored as part of the Programme management approach.

Consultation / Engagement – Both authorities are engaging with the Cumbria LEP to develop the transitional arrangements as part of the programme governance approach.

Legal – Legal Services are providing legal advice relating to the Governance, decision making and the legal agreements required to support the proposals relating to the LEP Integration. The Legal implications of the LEP transition are being worked through as part of the overall programme. More detail on the proposed legal implications will be included in the LEP transition report to both the Cabinet and the Joint Executive Committee in March 2024.

Finance – The financial implications of the LEP transition are being worked through as part of the overall programme. Both section 151 Officers are working together to ensure the accountabilities and financial transactions required from the transition are effectively actioned. More detail on the proposed financial implications will be included in the LEP transition report to the JEC on 28th March.

Information Governance – There aren't direct information governance implications.

Impact Assessments –

Have you screened the decision for impacts using the Impact Assessment? **N/A**

If you have not screened the decision using the Impact Assessment, please explain your reason. **Not key decision**

Contact details:

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Crossley, Director of

Place, Sustainable

Growth and Transport

Email:

Darren.crossley@Cumb

erland.gov.uk

Appendices attached to report:

Appendix 1 – Letter from Government on the transfer of LEP functions

Background papers:

Note: in compliance with section 100d of the Local Government Act 1972 the report has been prepared in part from the following papers:

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Department for Levelling Up,
Housing & Communities

Jacob Young MP
Minister for Levelling Up
2 Marsham Street
London
SW1P 4DF



Department for
Business & Trade

Kevin Hollinrake MP
*Minister for Enterprise, Markets and Small
Business*
Old Admiralty Building
London
SW1A 2DY

19 December 2023

To: Combined Authority Mayors, Local Authority Leaders, the Mayor of London, and LEP Chairs

Dear all,

TRANSFER OF LOCAL ENTERPRISE PARTNERSHIP (LEP) CORE FUNCTIONS TO COMBINED AND LOCAL AUTHORITIES

On 4 August 2023, Minister Hollinrake and the then Minister for Levelling Up wrote to you to confirm that, from April 2024, the Government's sponsorship and funding of LEPs will cease, and we will instead support combined and upper tier local authorities to deliver the functions currently delivered by LEPs. This empowers democratically elected local leaders to work with the private sector to support local businesses and drive local economic growth.

To support you through this policy change, we published [technical guidance](#) in August 2023. We have now published the final piece of [guidance](#) on the core functions of business representation and local economic planning. These two pieces of guidance should be viewed as complementary, with both documents relevant to the integration of LEP functions. As such, any statements in the original guidance are still applicable and both guidance documents should be used by local authorities in developing their integration and delivery plans for 2024/25 and beyond.

In October 2023, our officials issued a local authority / LEP integration plan template for completion by the end of November. The purpose of the template was to aid the process of integration and transition and inform the direction on any future government funding. We would like to thank you for providing the necessary information in response to this request. Our officials are now reviewing these plans as part of the approvals process, which will conclude early next year.

We are now pleased to confirm that in 2024/25, Government will provide eligible combined authorities and upper tier local authorities with up to £240,000 to deliver the functions previously delivered by LEPs – namely business representation, local economic planning, and the delivery of Government programmes where directed – subject to final business case and integration plan approvals (where plans are required and/or not yet approved). We recognise that this represents a small reduction in 2023/24 funding levels – this reflects the efficiencies we expect the integration of functions into combined and local authorities to deliver.

Eligibility for funding is conditional on LEP functions being delivered over sensible functional economic areas or whole county geographies. Where multiple upper tier local authorities are working together over a single functional economic area, they have selected one local authority to take responsibility for submitting the integration plan. Subject to approval of the plan, that authority will now be considered the accountable body for funding in 2024/2025. Funding beyond 2024/25 will be subject to future Spending Review decisions.

This core funding is separate to any programme funding that may be provided to support the delivery of, for example, Growth Hubs or Careers Hubs. Funding for the delivery of Government programmes as directed will be communicated to authorities by the responsible Government department or body as per usual processes.

We are also pleased to confirm that in 2024/25, Government will provide the LEP Network with up to £150,000, subject to business case approval, so they can continue to provide their support to aid the transition process.

To ensure the integration process already underway continues to progress as smoothly as possible, please do continue to direct questions and queries via the LEP Network or to your Area Lead within the Cities and Local Growth Unit. We would also like to take this opportunity to reconfirm that local authorities and LEPs should seek their own legal advice on issues related to staff; that LEP Directors should seek their own legal advice regarding personal liabilities; and that the management and transfer of any LEP assets should be agreed locally between the LEP and its Accountable Body, in line with any pre-existing arrangements and in accordance with any legal requirements. Where there are no existing arrangements, Government expects that any reserves and assets built up using public funds will remain within the public domain.

Government remains committed to empowering democratically elected local leaders by integrating LEP functions into local democratic institutions. We would like to thank LEP Chairs, their Boards, and their staff for all the work they have done to drive and support local growth across England since 2010. In addition, we would like to thank you all for your work to date and your continued efforts to facilitate this transition.



Jacob Young MP
Minister for Levelling Up



Kevin Hollinrake MP
Minister for Enterprise, Markets and Small Business



Report to Joint Overview and Scrutiny Committee

Meeting Date 26 February 2024
 Key Decision No
 Public/Private Public

Portfolio Cross cutting
 Directorate Cross cutting
 Lead Officer Rose Blaney, Democratic Services Officer (Scrutiny)

Title Committee Update Report and Work Programme

Summary:

To provide Members of Joint Overview and Scrutiny Committee with an overview of matters related to the committee’s work. The report also sets out a draft work programme for the committee.

Recommendations:

It is recommended that the Scrutiny Committee:

- (1) Note progress on resolutions from previous meetings.
- (2) Note and agree any changes to the work programme for 2023/24.
- (3) Review information presented within the report in relation to the development of the Committee’s work programme and comment on the work programme, in particular on items for the next Committee meeting.

Tracking

Executive:	n/a
Scrutiny:	Joint OSC 26 February 2024
Council:	n/a

1. Background

1.1 The Inter Authority Agreement (IAA) between Cumberland Council and Westmorland and Furness Council sets out that the Joint Overview and Scrutiny Committee will seek at their meetings to:

- 3.1.1 develop a forward work programme of activities;
- 3.1.2 review or scrutinise decisions made, or other action taken by the Joint Executive Committee;
- 3.1.3 seek reassurance and consider whether the Functions and Services are operating in accordance with the Service Strategies, including the budgets and any implementation plans for disaggregation and continuing service provision;
- 3.1.4 identification of barriers to progress, best practice and possible improvements; and
- 3.1.5 holding the Joint Executive Committee to account by providing critical challenge to ensure that it provides the high-level strategic direction for the implementation of the Service Strategies.

1.2 The minutes of the last meeting are considered as a separate agenda item and the Chair will seek declarations of interest at the start of each scrutiny meeting. This Scrutiny Committee Update Report will provide detail on references to the committee, responses of the Joint Executive and any Councillors Call for Action.

2. References to Joint Overview and Scrutiny Committee

2.1 None.

3. Councillors Call for Action

3.1 None.

4. Responses of Joint Executive Joint Overview and Scrutiny Committee

4.1 None.

5. Progress on resolutions from previous meetings

5.1 The following table sets out the meeting date and resolution that requires following up. The status is presented as either “completed”, “pending” (date expected), or “outstanding”. An item is considered outstanding if no update or progress has been made after three panel meetings. All the completed actions will be removed from the list following the meeting.

	Meeting date	Item	Resolution	Status
1	30/10/23	Work Programme	Clarification as to removed item from the Work Programme - “Review of identified risks/ Learning and improvements”	Completed

	Meeting date	Item	Resolution	Status
2	14/12/23	Work programme	Standing item to be added to the Work Programme regarding a Programme of Proposed Disaggregation to allow the Committee the opportunity to query whether timescales are being met and what possible disaggregation may be taking place.	Completed
3	14/12/23	Officer Attendance	The requested Senior Manager(s) with the overview of all hosted services be present at future meetings to help answer the Committee's queries.	Pending <i>(In discussion with the appropriate Director)</i>
4	14/12/23	Request for Further Information	Clarification sought as to who would be responsible for scrutiny for the future of the LEP – Joint Scrutiny, a collaboration between the appropriate Cumberland and Westmorland & Furness Scrutiny Committees or a combination of the two approaches.	Completed
5	14/12/23	Work programme	The requested report regarding Integration of LEP activities to Local Authorities be added to the Work Programme.	Completed
6	14/12/23	Request for Further Information	Clarification sought as to the meaning of "resilience accountability" regarding the Stronger Local Resilience Forum Pilot Programme.	Pending
7	14/12/23	Work programme	The requested report regarding the Stronger Local Resilience Forum Pilot Programme be added to the Work Programme.	Completed
8	14/12/23	Work programme	The requested additional information regarding Waste Contracts be added to the Waste Agenda Item for February.	Completed
9	14/12/23	Additional Information	The requested metrics around Adults Services be provided by the next scheduled meeting.	Completed
10	14/12/23	Additional Information	The requested additional information regarding whether the £56k for enhancements/allowance was an	Completed

	Meeting date	Item	Resolution	Status
			additional figure or included within the total costs for staff for Adults Services.	

6. Work planning

- 6.1 A robust work programme is important for scrutiny. Work planning activity will take place across the year to ensure that the work programme remains up to date. A copy of the current work programme is provided at Appendix A.
- 6.2 The work programme should be a living document and be reviewed regularly. Activity will take place across the year to ensure that the work programme remains up to date.

8.0 Conclusion and reasons for recommendations

- 8.1 This report provides Members of the Joint Overview and Scrutiny Committee with an overview of matters related to the committee's remit. The report also sets out a draft work programme. Members are asked to consider the recommendations to ensure that scrutiny activity remains effective and focussed on the priorities from the IAA.

Implications:

Contribution to the Cumberland Council & Westmorland and Furness Council's Priorities - Effective scrutiny plays an important part in the delivery of the priorities of both Cumberland Council and Westmorland and Furness Council.

Relevant Risks - None directly associated with this report.

Consultation / Engagement – n/a

Legal – None directly associated with this report.

Finance – None directly associated with this report.

Information Governance – None directly associated with this report.

Impact Assessments – Not required. Report for information only.

Contact details:

Contact Officer: Rose Blaney, Democratic Services Officer (Scrutiny)
 rose.blaney@cumberland.gov.uk

Appendices attached to report:

- Appendix A - Joint Overview and Scrutiny Committee work programme 2023/24

Background papers:

Note: in compliance with section 100d of the Local Government Act 1972 the report has been prepared in part from the following papers:

- None

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JOINT OVERVIEW AND SCRUTINY COMMITTEE WORK PROGRAMME			
	Issue	Description	Timeline
Committee meetings	Minutes of the Joint Executive Committee for Hosted Services	To review the minutes from the Joint Executive Committee for Hosted Services in September.	30 October 2023
	Children's Services	<p>A progress update on all of the short and long-term hosting arrangements in Children's services and how the hosted services are operating/performing. Incorporating very specific information regarding children's residential care and edge of care provision including:</p> <ul style="list-style-type: none"> • Details of all care homes across Cumbria • The size of each facility and the occupancy levels • The 'home' address of children living in the council-owned homes (so they can understand how far away the children are from their family homes and whether they have been placed in Cumberland or W&F). • Members were particularly interested in the homes on Sedbergh Drive, Blackwell Road and some 'flats in Barrow' previously in the ownership of Barrow Borough Council. <p>Members would like to understand what is preventing us from disaggregating the service.</p>	30 October 2023
	Long-term hosted services	An update on the rationale for long-term hosted services, and the arrangements for review and exit.	30 October 2023

Minutes of the Joint Executive Committee for Hosted Services	To review the minutes from the Joint Executive Committee for Hosted Services in November.	14 December 2023
Adults Social Care	A progress update on all of the short and long-term hosting arrangements in Adults Social Care and how the hosted services are operating/performing.	14 December 2023
Minutes of the Joint Executive Committee for Hosted Services	To review the minutes from the Joint Executive Committee for Hosted Services in January.	26 February 2024
Programme for Proposed Disaggregation.	To receive an update from the Lead Officer regarding the timescales for proposed disaggregation of services and possible additions to the programme.	26 February 2024
Waste	A progress update on all of the short and long-term hosting arrangements in Waste and how the hosted services are operating/performing.	26 February 2024
Long-Term Hosted Services Review Process	To review the review process for long-term hosted services and the consideration given as to whether disaggregation is required.	26 February 2024
Integration of LEP activities to Local Authorities	To review the integration of LEP activities to Local Authorities for Cumberland and Westmorland & Furness.	26 February 2024
Stronger Local Resilience Forum	To receive a report regarding the Stronger Local Resilience Forum (LRF) Pilot Programme.	26 February 2024

(LRF) Pilot Programme		
Minutes of the Joint Executive Committee for Hosted Services	To review the minutes from the Joint Executive Committee for Hosted Services in March.	19 April 2024
Programme for Proposed Disaggregation.	To receive an update from the Lead Officer regarding the timescales for proposed disaggregation of services and possible additions to the programme.	19 April 2024
Emergency planning	A progress update on all of the short and long-term hosting arrangements in Emergency planning and how the hosted services are operating/performing.	19 April 2024
Reassurance and Performance	Overview of the hosted services with consideration of the performance metrics for each of these services as well as progress being made preparing for disaggregation.	19 April 2024
Costs of Disaggregation	To review the cost of disaggregation for hosted services and the cost effectiveness of the proposed and possible disaggregation of hosted services in the future.	19 April 2024
Review of Identified Risks/Learning and Improvements	Identification of barriers of progress, best practice and possible improvements.	19 April 2024

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